

Programming Languages

First-order logic

Introduction

Syntax of first-order logic

Natural deduction for first-order logic

Semantics of first-order logic

Unification of terms

Introduction

Propositional logic

Allows reasoning about **propositions**.

Example: **ItRains** \vee \neg **ItRains**

First-order logic

Allows reasoning about **elements** about which one **predicates**.

Example:

$$\forall X. (\text{IsEven}(X) \Rightarrow \neg \text{IsEven}(\text{succ}(X)))$$

Extends propositional logic with **terms** and quantifiers.

What's all this logic for? I signed up for computer science...

Close connection between first-order logic and computing.

Historically

- ▶ Hilbert's decision problem.

Nowadays

- ▶ Computability and descriptive complexity.
- ▶ Knowledge representation, multi-agent systems.
- ▶ Artificial intelligence, automated reasoning.
- ▶ Formal methods, automated verification.
- ▶ Relational databases, query languages.
- ▶ Hardware verification.
- ▶ ...
- ▶ **Foundation of logic programming.**

Logic programming

Ideal of **declarative programming**

Programs should resemble specifications.

In particular: **logic programming**

- ▶ The user writes a formula:

$$\exists X. \mathbf{P}(X)$$

- ▶ The system tries to satisfy or refute the formula.
- ▶ If it succeeds in satisfying it, the system produces an output that verifies the desired property P .

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First-order languages

Definition

A **first-order language** \mathcal{L} is given by:

1. A set of **function symbols** $\mathcal{F} = \{f, g, h, \dots\}$.
Each function symbol has an associated arity (≥ 0).
2. A set of **predicate symbols** $\mathcal{P} = \{P, Q, R, \dots\}$.
Each predicate symbol has an associated arity (≥ 0).

First-order terms

Assume a first-order language \mathcal{L} is fixed
and a countably infinite set of **variables** $\mathcal{X} = \{X, Y, Z, \dots\}$.

Definition

The set \mathcal{T} of **terms** is defined by the following grammar:

$$t ::= X \quad | \quad f(t_1, \dots, t_n)$$

where:

X denotes a variable

f denotes a function symbol of arity n

First-order terms

Example — the language $\mathcal{L}_{\text{arithmetic}}$

0^0 succ^1 $+^2$ $*^2$
function symbols

$=^2$ $<^2$
predicate symbols

Example — terms over the language $\mathcal{L}_{\text{arithmetic}}$

$+(0, \text{succ}(X))$ $*(+(X, Y), Z)$

Function symbols of arity 0 are called constants.

Note. We use infix notation as a convenience.

$0 + \text{succ}(X)$ $(X + Y) * Z$

First-order formulas

Recall the grammar of formulas in propositional logic and let's extend it to first-order logic.

σ	$::=$	$\mathbf{P}(t_1, \dots, t_n)$	atomic formula
		\perp	contradiction
		$\sigma \Rightarrow \sigma$	implication
		$\sigma \wedge \sigma$	conjunction
		$\sigma \vee \sigma$	disjunction
		$\neg \sigma$	negation
		$\forall X. \sigma$	universal quantification
		$\exists X. \sigma$	existential quantification

\mathbf{P} denotes a predicate symbol of arity n .

Quantifiers bind a variable X .

First-order formulas

Recall — the language $\mathcal{L}_{\text{arithmetic}}$

$$0^0 \quad \text{succ}^1 \quad +^2 \quad *^2 \quad =^2 \quad <^2$$

Example — formulas over $\mathcal{L}_{\text{arithmetic}}$

$$\forall X. \exists Y. = (+ (X, Y), 0)$$

$$\forall X. \forall Y. (\text{succ}(X) = \text{succ}(Y) \Rightarrow X = Y)$$

$$\forall X. (X < 0 \vee X = 0 \vee 0 < X)$$

First-order formulas

An occurrence of a variable X in a formula is:

bound if it is within the scope of a quantifier $\forall X/\exists X$,
free otherwise.

Two formulas that differ only in the names of bound variables are considered equal.

Example

$$\forall X. \exists Y. \mathbf{P}(X, Y) \equiv \forall Y. \exists X. \mathbf{P}(Y, X) \equiv \forall A. \exists B. \mathbf{P}(A, B)$$

First-order formulas

We denote $\sigma\{X := t\}$ as the substitution of the free occurrences of X in the formula σ by the term t , avoiding variable capture.

Example

Let:

$$\sigma \equiv \text{succ}(X) = Y \implies \exists Z. X + Z = Y$$

then:

$$\sigma\{X := Z * Z\} \equiv \text{succ}(Z * Z) = Y \implies \exists Z'. (Z * Z) + Z' = Y$$

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Natural deduction

Propositional natural deduction is extended to first order.

Same as before:

1. A **context** Γ is a finite set of formulas.
2. A **sequent** is of the form $\Gamma \vdash \sigma$.

All propositional natural deduction rules remain valid.

Introduction and elimination rules for \forall and \exists are added.

Axiom	AX		
Conjunction	$\wedge I$	$\wedge E_1$	$\wedge E_2$
Disjunction	$\vee I_1$	$\vee I_2$	$\vee E$
Implication	$\Rightarrow I$	$\Rightarrow E$	
Negation	$\neg I$	$\neg E$	
Contradiction	$\perp E$		
Classical logic	$\neg\neg E$		
Universal quantification	$\forall I$	$\forall E$	
Existential quantification	$\exists I$	$\exists E$	

Universal quantification

Elimination rule

$$\frac{\Gamma \vdash \forall X. \sigma}{\Gamma \vdash \sigma\{X := t\}} \forall E$$

Introduction rule

$$\frac{\Gamma \vdash \sigma \quad X \notin \text{fv}(\Gamma)}{\Gamma \vdash \forall X. \sigma} \forall I$$

Universal quantification

Why is it required that $X \notin \text{fv}(\Gamma)$ in the rule $\forall\text{I}$?

Example — incorrect application of the rule $\forall\text{I}$

$$\frac{\text{IsEven}(N) \vdash \text{IsEven}(N)}{\text{IsEven}(N) \vdash \forall N. \text{IsEven}(N)} \leftarrow \text{Invalid reasoning step}$$

Existential quantification

Introduction rule

$$\frac{\Gamma \vdash \sigma\{X := t\}}{\Gamma \vdash \exists X. \sigma} \exists I$$

Elimination rule

$$\frac{\Gamma \vdash \exists X. \sigma \quad \Gamma, \sigma \vdash \tau \quad X \notin \text{fv}(\Gamma, \tau)}{\Gamma \vdash \tau} \exists E$$

Existential quantification

Example

$$\frac{\frac{\frac{\frac{\sigma \vdash \sigma}{\text{AX}} \quad \frac{\frac{\frac{\frac{\sigma, \mathbf{P}(\cos(X)) \vdash \mathbf{P}(\cos(X))}{\text{AX}}}{\sigma, \mathbf{P}(\cos(X)) \vdash \mathbf{P}(\cos(X)) \vee \mathbf{Q}(\cos(X))}{\forall I_1}}{\sigma, \mathbf{P}(\cos(X)) \vdash \exists X. (\mathbf{P}(X) \vee \mathbf{Q}(X))}{\exists I}}{\sigma \vdash \exists X. (\mathbf{P}(X) \vee \mathbf{Q}(X))}{\exists E}}{\vdash \exists X. \mathbf{P}(\cos(X)) \Rightarrow \exists X. (\mathbf{P}(X) \vee \mathbf{Q}(X))}{\Rightarrow I}}$$

$$\sigma := \exists X. \mathbf{P}(\cos(X))$$

Existential quantification

To think about

Why is it required that $X \notin \text{fv}(\Gamma, \tau)$ in the rule $\exists\text{E}$?

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First-order structures

Assume a first-order language \mathcal{L} is fixed.

Definition

A **first-order structure** is a pair $\mathcal{M} = (M, I)$ where:

- ▶ M is a **non-empty** set, called the *universe*.
- ▶ I is a function that gives an interpretation to each symbol.
- ▶ For each function symbol f of arity n :

$$I(f) : M^n \rightarrow M$$

- ▶ For each predicate symbol P of arity n :

$$I(P) \subseteq M^n$$

First-order structures

Recall — the language $\mathcal{L}_{\text{arithmetic}}$

$$0^0 \quad \text{succ}^1 \quad +^2 \quad *^2 \quad =^2 \quad <^2$$

Example — a structure over $\mathcal{L}_{\text{arithmetic}}$

$M := \mathbb{N}$ (elements are natural numbers)

$$\begin{aligned} I(0) &= 0 & (n, m) \in I(=) &\iff n = m \\ I(\text{succ})(n) &= n + 1 \\ I(+)(n, m) &= n + m & (n, m) \in I(<) &\iff n < m \\ I(*)(n, m) &= n \cdot m \end{aligned}$$

Under this structure, the formula $\forall X. X = X + X$ is false.

First-order structures

Recall — the language $\mathcal{L}_{\text{arithmetic}}$

$$0^0 \quad \text{succ}^1 \quad +^2 \quad *^2 \quad =^2 \quad <^2$$

Example — another structure over $\mathcal{L}_{\text{arithmetic}}$

$M := \mathcal{P}(\mathbb{R})$ (elements are sets of real numbers)

$$\begin{aligned} I(0) &= \emptyset & (A, B) \in I(=) &\iff A = B \\ I(\text{succ})(A) &= \{1 + x \mid x \in A\} \\ I(+)(A, B) &= A \cup B & (A, B) \in I(<) &\iff A \subseteq B \\ I(*) (A, B) &= A \cap B \end{aligned}$$

Under this structure, the formula $\forall X. X = X + X$ is true.

Interpretation of terms

Assume a first-order structure $\mathcal{M} = (M, I)$ is fixed.

Definition

An **assignment** is a function that assigns an element of the universe to each variable:

$$\mathbf{a} : \mathcal{X} \rightarrow M$$

Definition – interpretation of terms

Each term $t \in \mathcal{T}$ is interpreted as an element $\mathbf{a}(t) \in M$, extending the definition of \mathbf{a} to terms:

$$\mathbf{a}(f(t_1, \dots, t_n)) = I(f)(\mathbf{a}(t_1), \dots, \mathbf{a}(t_n))$$

Interpretation of formulas

Assume a first-order structure $\mathcal{M} = (M, I)$ is fixed.

We define a **satisfaction** relation $\mathbf{a} \models_{\mathcal{M}} \sigma$.

“The assignment \mathbf{a} (under the structure \mathcal{M}) satisfies the formula σ ”.

$\mathbf{a} \models_{\mathcal{M}} \mathbf{P}(t_1, \dots, t_n)$ iff $(\mathbf{a}(t_1), \dots, \mathbf{a}(t_n)) \in I(\mathbf{P})$

$\mathbf{a} \models_{\mathcal{M}} \sigma \wedge \tau$ iff $\mathbf{a} \models_{\mathcal{M}} \sigma$ and $\mathbf{a} \models_{\mathcal{M}} \tau$

$\mathbf{a} \models_{\mathcal{M}} \sigma \vee \tau$ iff $\mathbf{a} \models_{\mathcal{M}} \sigma$ or $\mathbf{a} \models_{\mathcal{M}} \tau$

$\mathbf{a} \models_{\mathcal{M}} \sigma \Rightarrow \tau$ iff $\mathbf{a} \not\models_{\mathcal{M}} \sigma$ or $\mathbf{a} \models_{\mathcal{M}} \tau$

$\mathbf{a} \models_{\mathcal{M}} \neg \sigma$ iff $\mathbf{a} \not\models_{\mathcal{M}} \sigma$

$\mathbf{a} \not\models_{\mathcal{M}} \perp$

$\mathbf{a} \models_{\mathcal{M}} \forall X. \sigma$ iff $\mathbf{a}[X \mapsto m] \models_{\mathcal{M}} \sigma$ for all $m \in M$

$\mathbf{a} \models_{\mathcal{M}} \exists X. \sigma$ iff $\mathbf{a}[X \mapsto m] \models_{\mathcal{M}} \sigma$ for some $m \in M$

$\mathbf{a} \models_{\mathcal{M}} \sigma \clubsuit \tau$ iff $\mathbf{a} \models_{\mathcal{M}} \sigma$ broccoli $\mathbf{a} \models_{\mathcal{M}} \tau$

(A “joke” by J.-Y. Girard)

Validity and satisfiability

We say that a formula σ is:

<p>VALID if $\mathbf{a} \models_{\mathcal{M}} \sigma$ for all \mathcal{M}, \mathbf{a}</p>	<p>SATISFIABLE if $\mathbf{a} \models_{\mathcal{M}} \sigma$ for some \mathcal{M}, \mathbf{a}</p>
<p>INVALID if $\mathbf{a} \not\models_{\mathcal{M}} \sigma$ for some \mathcal{M}, \mathbf{a}</p>	<p>UNSATISFIABLE if $\mathbf{a} \not\models_{\mathcal{M}} \sigma$ for all \mathcal{M}, \mathbf{a}</p>

Observations

σ is VALID iff σ is not INVALID
 σ is SATISFIABLE iff σ is not UNSATISFIABLE
 σ is VALID iff $\neg\sigma$ is UNSATISFIABLE
 σ is SATISFIABLE iff $\neg\sigma$ is INVALID

Models

A *sentence* is a formula σ with no free variables.

A *first-order theory* is a set of sentences.

Definition — consistency

A theory \mathcal{T} is *consistent* if $\mathcal{T} \not\vdash \perp$.

Definition — model

A structure $\mathcal{M} = (M, I)$ is a *model* of a theory \mathcal{T} if $\models_{\mathcal{M}} \sigma$ holds for every formula $\sigma \in \mathcal{T}$.

(The assignment is irrelevant since σ is closed).

Soundness and completeness

Theorem (Gödel, 1929)

Given a theory \mathcal{T} , the following are equivalent:

1. \mathcal{T} is consistent.
2. \mathcal{T} has (at least) one model.

Corollary

Given a formula σ , the following are equivalent:

1. $\vdash \sigma$ is derivable.
2. σ is valid.

Corollary

Given a formula σ , the following are equivalent:

1. $\vdash \neg\sigma$ is derivable.
2. σ is unsatisfiable.

The decision problem

We would like an algorithm that solves the following problem:

Input: a formula σ .

Output: a boolean indicating whether σ is valid.

It is **not** possible to give an algorithm that meets this specification.

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Unification algorithm

The unification algorithm we knew adapts to first-order terms just by changing the notation:

$$\{X \stackrel{?}{=} X\} \cup E \xrightarrow{\text{Delete}} E$$

$$\{f(t_1, \dots, t_n) \stackrel{?}{=} f(s_1, \dots, s_n)\} \cup E \xrightarrow{\text{Decompose}} \{t_1 \stackrel{?}{=} s_1, \dots, t_n \stackrel{?}{=} s_n\} \cup E$$

$$\{t \stackrel{?}{=} X\} \cup E \xrightarrow{\text{Swap}} \{X \stackrel{?}{=} t\} \cup E$$

if t is not a variable

$$\{X \stackrel{?}{=} t\} \cup E \xrightarrow{\text{Elim}}_{\{X := t\}} E\{X := t\}$$

if $X \notin \text{fv}(t)$

$$\{f(t_1, \dots, t_n) \stackrel{?}{=} g(s_1, \dots, s_m)\} \cup E \xrightarrow{\text{Clash}} \text{failure}$$

if $f \neq g$

$$\{X \stackrel{?}{=} t\} \cup E \xrightarrow{\text{Occurs-Check}} \text{failure}$$

if $X \neq t$ and $X \in \text{fv}(t)$

Termination of the unification algorithm

Given a set of unification equations E , we define:

- ▶ n_1 : number of distinct variables in E
- ▶ n_2 : size of E , calculated as $\sum_{(t \stackrel{?}{=} s) \in E} |t| + |s|$
- ▶ n_3 : number of equations of the form $t \stackrel{?}{=} X$ in E

We can observe that the rules that do not produce failure decrease the triple (n_1, n_2, n_3) , according to the *lexicographic order*:

	n_1	n_2	n_3
Elim	>		
Decompose	=	>	
Delete	\geq	>	
Swap	=	=	>

Correctness of the unification algorithm

Recall

1. A **substitution** is a function \mathbf{S} that associates a term $\mathbf{S}(X)$ with each variable X .
2. \mathbf{S} is a **unifier** of E if for each $(t \stackrel{?}{=} s) \in E$ we have $\mathbf{S}(t) = \mathbf{S}(s)$.
3. \mathbf{S} is **more general** than \mathbf{S}' if there exists \mathbf{T} such that $\mathbf{S}' = \mathbf{T} \circ \mathbf{S}$.
4. \mathbf{S} is an **m.g.u.** of E if \mathbf{S} is a unifier of E and for every unifier \mathbf{S}' of E we have that \mathbf{S} is more general than \mathbf{S}' .
Technically, we are interested in **idempotent** m.g.u.'s, i.e., $\mathbf{S}(\mathbf{S}(t)) = \mathbf{S}(t)$ for every term t .

Correctness of the unification algorithm

Lemma — correctness of the Delete rule

\mathbf{S} m.g.u. of $E \implies \mathbf{S}$ m.g.u. of $\{X \stackrel{?}{=} X\} \cup E$.

Lemma — correctness of the Swap rule

\mathbf{S} m.g.u. of $\{t \stackrel{?}{=} s\} \cup E \implies \mathbf{S}$ m.g.u. of $\{s \stackrel{?}{=} t\} \cup E$.

Lemma — correctness of the Decompose rule

\mathbf{S} m.g.u. of $\{t_1 \stackrel{?}{=} s_1, \dots, t_n \stackrel{?}{=} s_n\} \cup E$
 $\implies \mathbf{S}$ m.g.u. of $\{f(t_1, \dots, t_n) \stackrel{?}{=} f(s_1, \dots, s_n)\} \cup E$.

Lemma — correctness of the Elim rule

\mathbf{S} m.g.u. of $E\{X := t\}$ and $X \notin \text{fv}(t)$
 $\implies \mathbf{S} \circ \{X := t\}$ m.g.u. of E .

Use the fact that if $\mathbf{S}(X) = t$ then $\mathbf{S}(s\{X := t\}) = \mathbf{S}(s)$.

Correctness of the unification algorithm

Let's prove correctness in case of success.

Let $E_0 \rightarrow_{\mathbf{s}_1} E_1 \rightarrow_{\mathbf{s}_n} E_2 \rightarrow \dots \rightarrow_{\mathbf{s}_n} E_n = \emptyset$.

We need to show that $\mathbf{S}_n \circ \dots \circ \mathbf{S}_1$ is an m.g.u. of E .

By induction on n :

1. If $n = 0$, the identity substitution is an m.g.u. of \emptyset .
2. If $n > 0$, we have:

$$E_0 \rightarrow_{\mathbf{s}_1} E_1 \quad E_1 \rightarrow_{\mathbf{s}_2} \dots \rightarrow_{\mathbf{s}_n} E_n = \emptyset$$

By IH, $\mathbf{S}_n \circ \dots \circ \mathbf{S}_2$ is an m.g.u. of E_1 .

Applying one of the previous lemmas, we conclude that

$\mathbf{S}_n \circ \dots \circ \mathbf{S}_2 \circ \mathbf{S}_1$ is an m.g.u. of E_0 .

Correctness of the unification algorithm

Correctness in case of failure is proved similarly,
with lemmas that go “forward” instead of “backward”.

