

Proofs and Refutations for Intuitionistic and Second-Order Logic

February 14, 2023

Pablo Barenbaum

CONICET / Universidad Nacional de Quilmes

ICC, Universidad de Buenos Aires

Argentina

Teodoro Freund

Universidad de Buenos Aires

Argentina

Outline

PRK: typing

PRK: reduction rules

Properties

Conclusion

Syntax of propositions (aka types)

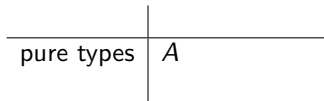
In previous work.

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

Syntax of propositions (aka types)

In previous work.

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$



Syntax of propositions (aka types)

In previous work.

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

affirmation	A^+
denial	A^-

Syntax of propositions (aka types)

In previous work.

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

	strong	weak
affirmation	A^+	A^\oplus
denial	A^-	A^\ominus

Syntax of propositions (aka types)

In previous work.

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

	strong	weak
affirmation	A^+	A^\oplus
denial	A^-	A^\ominus

Propositions $P ::=$

- A^+ strong affirmation
- A^- strong denial
- A^\oplus weak affirmation
- A^\ominus weak denial

Syntax of propositions (aka types)

Pure propositions $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$
 $\mid A \rightarrow A \mid A \times A \mid \forall \alpha. A \mid \exists \alpha. A$

In this work.

	strong	weak
affirmation	A^+	A^\oplus
denial	A^-	A^\ominus

Propositions $P ::= A^+$ strong affirmation
 $\mid A^-$ strong denial
 $\mid A^\oplus$ weak affirmation
 $\mid A^\ominus$ weak denial

Typing rules of system PRK

- ▶ Most rules are derived mechanically from standard typing rules.

Example: rules for conjunction

Standard rule

$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash s : B}{\Gamma \vdash \langle t, s \rangle : (A \wedge B)} \text{I}\wedge$$

$$\frac{\Gamma \vdash t : (A_1 \wedge A_2)}{\Gamma \vdash \pi_i(t) : A_i} \text{E}\wedge_i$$

Typing rules of system PRK

- ▶ Most rules are derived mechanically from standard typing rules.
- ▶ Introduction rules have weak premises and strong conclusions.
- ▶ Elimination rules have strong premises and weak conclusions.

Example: rules for conjunction

Standard rule + weak/strong distinction

$$\frac{\Gamma \vdash t : A^{\oplus} \quad \Gamma \vdash s : B^{\oplus}}{\Gamma \vdash \langle t, s \rangle^+ : (A \wedge B)^+} \text{I}\wedge^+$$

$$\frac{\Gamma \vdash t : (A_1 \wedge A_2)^+}{\Gamma \vdash \pi_i^+(t) : A_i^{\oplus}} \text{E}\wedge_i^+$$

Typing rules of system PRK

- ▶ Most rules are derived mechanically from standard typing rules.
- ▶ Introduction rules have weak premises and strong conclusions.
- ▶ Elimination rules have strong premises and weak conclusions.
- ▶ Dual pairs of connectives are (\wedge/\vee) , (\rightarrow/\times) , (\forall/\exists) .

Example: rules for conjunction

Standard rule + weak/strong distinction + affirmation/denial distinction.

$$\frac{\Gamma \vdash t : A^{\oplus} \quad \Gamma \vdash s : B^{\oplus}}{\Gamma \vdash \langle t, s \rangle^+ : (A \wedge B)^+} I_{\wedge}^+$$

$$\frac{\Gamma \vdash t : A^{\ominus} \quad \Gamma \vdash s : B^{\ominus}}{\Gamma \vdash \langle t, s \rangle^- : (A \wedge B)^-} I_{\wedge}^-$$

$$\frac{\Gamma \vdash t : (A_1 \wedge A_2)^+}{\Gamma \vdash \pi_i^+(t) : A_i^{\oplus}} E_{\wedge_i}^+$$

$$\frac{\Gamma \vdash t : (A_1 \vee A_2)^-}{\Gamma \vdash \pi_i^-(t) : A_i^{\ominus}} E_{\vee_i}^-$$

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Absurdity rule (cut)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright s : P}$$

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Absurdity rule (cut)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright s : P}$$

Weak introduction and elimination

Typing rules for weak propositions are based on the following informal equations.

$$A^\oplus \simeq (A^\ominus \rightarrow A^+) \qquad A^\ominus \simeq (A^\oplus \rightarrow A^-)$$

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Absurdity rule (cut)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright s : P}$$

Weak introduction and elimination

Typing rules for weak propositions are based on the following informal equations.

$$A^\oplus \simeq (A^\ominus \rightarrow A^+)$$

$$A^\ominus \simeq (A^\oplus \rightarrow A^-)$$

$$\frac{\Gamma, x : A^\ominus \vdash t : A^+}{\Gamma \vdash \circ_x^+ . t : A^\oplus} I_o^+$$

$$\frac{\Gamma \vdash t : A^\oplus \quad \Gamma \vdash s : A^\ominus}{\Gamma \vdash t \bullet^+ s : A^+} E_o^+$$

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Absurdity rule (cut)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright s : P}$$

Weak introduction and elimination

Typing rules for weak propositions are based on the following informal equations.

$$A^\oplus \simeq (A^\ominus \rightarrow A^+)$$

$$A^\ominus \simeq (A^\oplus \rightarrow A^-)$$

$$\frac{\Gamma, x : A^\ominus \vdash t : A^+}{\Gamma \vdash \bigcirc_x^+ . t : A^\oplus} I_o^+$$

$$\frac{\Gamma, x : A^\oplus \vdash t : A^-}{\Gamma \vdash \bigcirc_x^- . t : A^\ominus} I_o^-$$

$$\frac{\Gamma \vdash t : A^\oplus \quad \Gamma \vdash s : A^\ominus}{\Gamma \vdash t \bullet^+ s : A^+} E_o^+$$

$$\frac{\Gamma \vdash t : A^\ominus \quad \Gamma \vdash s : A^\oplus}{\Gamma \vdash t \bullet^- s : A^-} E_o^-$$

Typing rules of system PRK

Typing rules for $\wedge, \vee, \rightarrow, \times, \forall, \exists$ are derived mechanically from System F.

Absurdity rule (cut)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright s : P}$$

Weak introduction and elimination

Typing rules for weak propositions are based on the following informal equations. **Note that these equations are mutually recursive.**

$$A^\oplus \simeq (A^\ominus \rightarrow A^+) \qquad A^\ominus \simeq (A^\oplus \rightarrow A^-)$$

Outline

PRK: typing

PRK: reduction rules

Properties

Conclusion

Reduction rules

Simplification of intro./elim. pairs (Natural deduction-like)

In previous work:

$$\begin{aligned}(\circlearrowleft_x^\pm . t) \bullet^\pm s &\xrightarrow{\beta_\circ^\pm / \beta_\circ^\mp} t\{x := s\} \\ \pi_i^\pm (\langle t_1, t_2 \rangle^\pm) &\xrightarrow{\beta_\wedge^\pm / \beta_\vee^\mp} t_i \\ \delta^\pm (\text{in}_i^\pm (t)) [x.s_1][x.s_2] &\xrightarrow{\beta_\vee^\pm / \beta_\wedge^\mp} s_i\{x := t\} \\ M^\pm (N^\pm t) &\xrightarrow{\beta_\neg^\pm / \beta_\neg^\mp} t\end{aligned}$$

New rules:

$$\begin{aligned}(\lambda_x^\pm . t) @^\pm s &\xrightarrow{\beta_\rightarrow^\pm / \beta_\times^\mp} t\{x := s\} \\ \varrho^\pm (t ;^\pm s) [x.y.u] &\xrightarrow{\beta_\times^\pm / \beta_\rightarrow^\mp} u\{x := t\}\{y := s\} \\ (\lambda_\alpha^\pm . t) @^\pm A &\xrightarrow{\beta_\forall^\pm / \beta_\exists^\mp} t\{\alpha := A\} \\ \nabla^\pm \langle A, t \rangle^\pm [(\alpha, x).s] &\xrightarrow{\beta_\exists^\pm / \beta_\forall^\mp} s\{\alpha := A\}\{x := t\}\end{aligned}$$

Reduction rules

Simplification of intro./intro. cuts (Sequent calculus-like)

In previous work:

$$\begin{aligned}\langle t_1, t_2 \rangle^+ \multimap \text{in}_i^-(s) &\xrightarrow{\multimap \wedge} (t_i \bullet^+ s) \multimap (s \bullet^- t_i) \\ \text{in}_i^+(t) \multimap \langle s_1, s_2 \rangle^- &\xrightarrow{\multimap \vee} (t \bullet^+ s_i) \multimap (s_i \bullet^- t) \\ (N^+ t) \multimap (N^- s) &\xrightarrow{\multimap \neg} (s \bullet^+ t) \multimap (t \bullet^- s)\end{aligned}$$

New rules:

$$\begin{aligned}\lambda_x^+.t \multimap (s;^- u) &\xrightarrow{\multimap \rightarrow} (t\{x := s\} \bullet^+ u) \multimap (u \bullet^- t\{x := s\}) \\ (t;^+ s) \multimap \lambda_x^-.u &\xrightarrow{\multimap \times} (s \bullet^+ u\{x := t\}) \multimap (u\{x := t\} \bullet^- s) \\ (\lambda_\alpha^+.t) \multimap \langle A, s \rangle^- &\xrightarrow{\multimap \forall} (t\{\alpha := A\} \bullet^+ s) \multimap (s \bullet^- t\{\alpha := A\}) \\ \langle A, t \rangle^+ \multimap (\lambda_\alpha^-.s) &\xrightarrow{\multimap \exists} (t \bullet^+ s\{\alpha := A\}) \multimap (s\{\alpha := A\} \bullet^- t)\end{aligned}$$

Outline

PRK: typing

PRK: reduction rules

Properties

Conclusion

Main properties

Theorem (Classical refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in classical second-order logic.
- ▶ There is a witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

Main properties

Theorem (Classical refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in classical second-order logic.
- ▶ There is a witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

But $\vdash (A \vee \neg\neg A)^+$ does not hold.

Main properties

Theorem (Classical refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in classical second-order logic.
- ▶ There is a witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

But $\vdash (A \vee \neg\neg A)^+$ does not hold.

Theorem (Symmetry)

1. $\Gamma \vdash t : P$ if and only if $\Gamma^\perp \vdash t^\perp : P^\perp$
2. $t \rightarrow s$ if and only if $t^\perp \rightarrow s^\perp$

where $-\perp$ flips all the signs and exchanges dual connectives.

Main properties

Theorem (Classical refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in classical second-order logic.
- ▶ There is a witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

But $\vdash (A \vee \neg\neg A)^+$ does not hold.

Theorem (Symmetry)

1. $\Gamma \vdash t : P$ if and only if $\Gamma^\perp \vdash t^\perp : P^\perp$
2. $t \rightarrow s$ if and only if $t^\perp \rightarrow s^\perp$

where $-\perp$ flips all the signs and exchanges dual connectives.

Theorem (Convergence)

PRK enjoys **subject reduction**, **confluence**, and **strong normalization**.

Main properties

Theorem (Classical refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in classical second-order logic.
- ▶ There is a witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

But $\vdash (A \vee \neg\neg A)^+$ does not hold.

Theorem (Symmetry)

1. $\Gamma \vdash t : P$ if and only if $\Gamma^\perp \vdash t^\perp : P^\perp$
2. $t \rightarrow s$ if and only if $t^\perp \rightarrow s^\perp$

where $-\perp$ flips all the signs and exchanges dual connectives.

Theorem (Convergence)

PRK enjoys **subject reduction**, **confluence**, and **strong normalization**.

This provides a computational interpretation for classical logic.

Strong normalization

In previous work

We proved SN of the $\{\wedge, \vee, \neg\}$ fragment by translating PRK to Mendler's extension of System F with non-strictly positive recursion.

The proof does not extend to second-order PRK.

Strong normalization

In previous work

We proved SN of the $\{\wedge, \vee, \neg\}$ fragment by translating PRK to Mendler's extension of System F with non-strictly positive recursion.

The proof does not extend to second-order PRK.

In this work

We prove SN of the $\{\wedge, \vee, \neg, \rightarrow, \times, \forall, \exists\}$ fragment by constructing a reducibility model, adapting Mendler's proof.

The interesting part is the interpretation of the mutually recursive equations:

$$A^{\oplus} \simeq (A^{\ominus} \rightarrow A^+) \quad A^{\ominus} \simeq (A^{\oplus} \rightarrow A^-)$$

respectively as a fixpoint/co-fixpoint in a complete lattice of reducibility candidates.

Intuitionistic fragment

We have identified an **intuitionistic fragment** of PRK.

Intuitionistic fragment

We have identified an **intuitionistic fragment** of PRK.

A subterm is *useless* if it lies inside the argument of the elimination of a positive weak proposition:

$$\frac{\begin{array}{c} \pi_1 \\ \vdots \\ \Gamma \vdash t : A^\oplus \end{array} \quad \begin{array}{c} \pi_2 \\ \vdots \\ \Gamma \vdash s : A^\ominus \end{array}}{\Gamma \vdash t \bullet^+ s : A^+} E_o^+$$

A term is *intuitionistic* if:

1. Negative eliminations of \wedge , \rightarrow , \forall , and \neg are useless.
2. In any subterm $\circ_x^+ . t$, free occurrences of x in t are useless.

Intuitionistic fragment

We have identified an **intuitionistic fragment** of PRK.

A subterm is *useless* if it lies inside the argument of the elimination of a positive weak proposition:

$$\frac{\begin{array}{c} \pi_1 \\ \vdots \\ \Gamma \vdash t : A^\oplus \end{array} \quad \begin{array}{c} \pi_2 \\ \vdots \\ \Gamma \vdash s : A^\ominus \end{array}}{\Gamma \vdash t \bullet^+ s : A^+} E_o^+$$

A term is *intuitionistic* if:

1. Negative eliminations of \wedge , \rightarrow , \forall , and \neg are useless.
2. In any subterm $\circ_x^+ . t$, free occurrences of x in t are useless.

Theorem (Intuitionistic refinement)

The following are equivalent:

- ▶ $A_1, \dots, A_n \vdash B$ holds in intuitionistic second-order logic.
- ▶ There is an **intuitionistic** witness of $A_1^\oplus, \dots, A_n^\oplus \vdash B^\oplus$ in PRK.

Outline

PRK: typing

PRK: reduction rules

Properties

Conclusion

Conclusion

- ▶ We have extended system PRK to second-order logic.
- ▶ The good logical and computational properties remain.
- ▶ To prove SN we adapted Mendler's reducibility model.
- ▶ We identified an intuitionistic subset of PRK.
- ▶ The paper also explores Böhm–Berarducci encodings and canonicity results.

Conclusion

- ▶ We have extended system PRK to second-order logic.
- ▶ The good logical and computational properties remain.
- ▶ To prove SN we adapted Mendler's reducibility model.
- ▶ We identified an intuitionistic subset of PRK.
- ▶ The paper also explores Böhm–Berarducci encodings and canonicity results.

Future work

- ▶ Study decidability results for fragments of PRK.
- ▶ Can PRK be related with existing classical calculi?
(Parigot, Barbanera–Berardi, Curién–Herbelin, ...)
- ▶ Can PRK be understood through translations to linear logic?
- ▶ Can these ideas be extended to a dependently typed setting?