

# A Constructive Logic with Classical Proofs and Refutations

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Logics and Dynamics of Programming Languages

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# Outline

Introduction

The Logical System PRK

Propositions as Types: the  $\lambda^{\text{PRK}}$ -calculus

Kripke Semantics

Further Extensions

Conclusion

# The BHK Interpretation

( $\{\wedge, \vee, \neg\}$  fragment)

$$(A \wedge B)^+ \simeq A^+ \times B^+$$

$$(A \vee B)^+ \simeq A^+ \uplus B^+$$

$$(\neg A)^+ \simeq A^+ \Rightarrow \mathbf{0}$$

$A^+$  = “proofs of  $A$ ”

# Nelson's Strong Negation

(Nelson, 1949)

$$(A \wedge B)^+ \simeq A^+ \times B^+$$

$$(A \wedge B)^- \simeq A^- \uplus B^-$$

$$(A \vee B)^+ \simeq A^+ \uplus B^+$$

$$(A \vee B)^- \simeq A^- \times B^-$$

$$(\neg A)^+ \simeq A^-$$

$$(\neg A)^- \simeq A^+$$

$A^+$  = “proofs of  $A$ ”

$A^-$  = “refutations of  $A$ ”

# Starting point: a BHK interpretation for classical logic

Can we recover classical logic by extending Nelson's system as follows?

$$A^{\oplus} \stackrel{?}{\simeq} A^{-} \Rightarrow A^{+} \qquad A^{\ominus} \stackrel{?}{\simeq} A^{+} \Rightarrow A^{-}$$

$A^{+}$  = “strong proofs of  $A$ ”

$A^{-}$  = “strong refutations of  $A$ ”

$A^{\oplus}$  = “classical proofs of  $A$ ”

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- ▶ From the strictly logical point of view, this gives us classical logic.
- ▶ From the point of view of proof normalization, it is not clear how to normalize a cut  $A^{\oplus} / A^{\ominus}$ .

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- ▶ From the strictly logical point of view, this gives us classical logic.
- ▶ From the point of view of proof normalization, it is not clear how to normalize a cut  $A^{\oplus} / A^{\ominus}$ .

Our approach is based on the (mutually recursive!) equations:

$$A^{\oplus} \simeq A^{\ominus} \Rightarrow A^{+} \qquad A^{\ominus} \simeq A^{\oplus} \Rightarrow A^{-}$$

## Starting point: a BHK interpretation for classical logic

$$(A \wedge B)^+ \simeq A^\oplus \times B^\oplus$$

$$(A \wedge B)^- \simeq A^\ominus \uplus B^\ominus$$

$$(A \vee B)^+ \simeq A^\oplus \uplus B^\oplus$$

$$(A \vee B)^- \simeq A^\ominus \times B^\ominus$$

$$(\neg A)^+ \simeq A^\ominus$$

$$(\neg A)^- \simeq A^\oplus$$

$$A^\oplus \simeq A^\ominus \Rightarrow A^+$$

$$A^\ominus \simeq A^\oplus \Rightarrow A^-$$

$A^+$  = “strong proofs of  $A$ ”

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# Natural Deduction

Pure propositions  $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

Propositions  $P ::= A^+$  strong affirmation

## Example rules

$$\frac{\Gamma \vdash A^+ \quad \Gamma \vdash B^+}{\Gamma \vdash (A \wedge B)^+} \text{I}\wedge^+$$

$$\frac{\Gamma \vdash (A_1 \wedge A_2)^+}{\Gamma \vdash A_i^+} \text{E}\wedge_i^+$$



# System PRK

Pure propositions  $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

Propositions  $P ::= A^+ \mid A^- \mid A^\oplus \mid A^\ominus$

strong affirmation  
strong denial  
classical affirmation  
classical denial

## Example rules

$$\frac{\Gamma \vdash A^\oplus \quad \Gamma \vdash B^\oplus}{\Gamma \vdash (A \wedge B)^+} I\wedge^+$$

$$\frac{\Gamma \vdash A^\ominus \quad \Gamma \vdash B^\ominus}{\Gamma \vdash (A \vee B)^-} I\vee^-$$

$$\frac{\Gamma \vdash (A_1 \wedge A_2)^+}{\Gamma \vdash A_i^\oplus} E\wedge_i^+$$

$$\frac{\Gamma \vdash (A_1 \vee A_2)^-}{\Gamma \vdash A_i^\ominus} E\vee_i^-$$

# System PRK

Pure propositions  $A ::= \alpha \mid A \wedge A \mid A \vee A \mid \neg A$

Propositions  $P ::=$

|             |                       |
|-------------|-----------------------|
| $A^+$       | strong affirmation    |
| $A^-$       | strong denial         |
| $A^\oplus$  | classical affirmation |
| $A^\ominus$ | classical denial      |

## Example rules

$$\frac{\Gamma \vdash A^\oplus \quad \Gamma \vdash B^\oplus}{\Gamma \vdash (A \wedge B)^+} \text{I}\wedge^+$$

$$\frac{\Gamma \vdash A^\ominus \quad \Gamma \vdash B^\ominus}{\Gamma \vdash (A \vee B)^-} \text{I}\vee^-$$

$$\frac{\Gamma \vdash (A_1 \wedge A_2)^+}{\Gamma \vdash A_i^\oplus} \text{E}\wedge_i^+$$

$$\frac{\Gamma \vdash (A_1 \vee A_2)^-}{\Gamma \vdash A_i^\ominus} \text{E}\vee_i^-$$

A strong affirmation  $A^+$  is canonically proved with an introduction rule.

# System PRK – Noteworthy rules

## Absurdity

$$\frac{\Gamma \vdash A^+ \quad \Gamma \vdash A^-}{\Gamma \vdash P} \text{ABS}$$

## Negation

$$\frac{\Gamma \vdash A^\ominus}{\Gamma \vdash (\neg A)^+} \text{I}_{\neg^+} \quad \frac{\Gamma \vdash A^\oplus}{\Gamma \vdash (\neg A)^-} \text{I}_{\neg^-}$$

$$\frac{\Gamma \vdash (\neg A)^+}{\Gamma \vdash A^\ominus} \text{E}_{\neg^+} \quad \frac{\Gamma \vdash (\neg A)^-}{\Gamma \vdash A^\oplus} \text{E}_{\neg^-}$$

## Classical formulas

$$\frac{\Gamma, A^\ominus \vdash A^+}{\Gamma \vdash A^\oplus} \text{I}_{\circ^+}$$

$$\frac{\Gamma, A^\oplus \vdash A^-}{\Gamma \vdash A^\ominus} \text{I}_{\circ^-}$$

$$\frac{\Gamma \vdash A^\oplus \quad \Gamma \vdash A^\ominus}{\Gamma \vdash A^+} \text{E}_{\circ^+}$$

$$\frac{\Gamma \vdash A^\ominus \quad \Gamma \vdash A^\oplus}{\Gamma \vdash A^-} \text{E}_{\circ^-}$$

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## Classical formulas

$$\frac{\Gamma, A^\ominus \vdash A^+}{\Gamma \vdash A^\oplus} \text{I}_{\circ^+}$$

$$\frac{\Gamma, A^\oplus \vdash A^-}{\Gamma \vdash A^\ominus} \text{I}_{\circ^-}$$

$$\frac{\Gamma \vdash A^\oplus \quad \Gamma \vdash A^\ominus}{\Gamma \vdash A^+} \text{E}_{\circ^+}$$

$$\frac{\Gamma \vdash A^\ominus \quad \Gamma \vdash A^\oplus}{\Gamma \vdash A^-} \text{E}_{\circ^-}$$

A classical affirmation  $A^\oplus$  is canonically proved by assuming  $A^\ominus$  and proving  $A^+$ .

# System PRK – Admissible rules

Weakening

$$\frac{\Gamma \vdash P}{\Gamma, Q \vdash P}$$

Cut

$$\frac{\Gamma, P \vdash Q \quad \Gamma \vdash P}{\Gamma \vdash Q}$$

Substitution

$$\frac{\Gamma \vdash Q}{\Gamma[\alpha := A] \vdash Q[\alpha := A]}$$

General absurdity

$$\frac{\Gamma \vdash P \quad \Gamma \vdash P^\sim}{\Gamma \vdash Q}$$

Contraposition

$$\frac{\Gamma, P \vdash Q \quad P \text{ classical}}{\Gamma, Q^\sim \vdash P^\sim}$$

Strengthening

$$\frac{\Gamma, P^\sim \vdash P \quad P \text{ classical}}{\Gamma \vdash P}$$

Where:

$$(A^+)^\sim \stackrel{\text{def}}{=} A^- \quad (A^-)^\sim \stackrel{\text{def}}{=} A^+ \quad (A^\oplus)^\sim \stackrel{\text{def}}{=} A^\ominus \quad (A^\ominus)^\sim \stackrel{\text{def}}{=} A^\oplus$$



# System PRK – Properties

## Theorem (Embedding + conservative extension)

$\vdash A$  holds classically    if and only if     $\vdash A^\oplus$  holds in PRK

## Strong propositions behave constructively

The **classical** excluded middle  $\vdash (A \vee \neg A)^\oplus$  always holds.

The **strong** excluded middle  $\vdash (A \vee \neg A)^+$  does not hold in general.

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# The calculus $\lambda^{\text{PRK}}$

We assign explicit witnesses to proofs:

|                  |       |   |                                  |
|------------------|-------|---|----------------------------------|
| $t, s, u, \dots$ | $::=$ | $x$                                       | variable                         |
|                  |       | $t \blacktriangleright_P s$               | absurdity                        |
|                  |       | $\langle t, s \rangle^\pm$                | $\wedge^+ / \vee^-$ introduction |
|                  |       | $\pi_i^\pm(t)$                            | $\wedge^+ / \vee^-$ elimination  |
|                  |       | $\text{in}_i^\pm(t)$                      | $\vee^+ / \wedge^-$ introduction |
|                  |       | $\text{case}^\pm t [_{x:P.s}] [_{y:Q.u}]$ | $\vee^+ / \wedge^-$ elimination  |
|                  |       | $\nu^\pm t$                               | $\neg^+ / \neg^-$ introduction   |
|                  |       | $\mu^\pm t$                               | $\neg^+ / \neg^-$ elimination    |
|                  |       | $\bigcirc_{(x:P)}^\pm \cdot t$            | classical introduction           |
|                  |       | $t \bullet^\pm s$                         | classical elimination            |

# The calculus $\lambda^{\text{PRK}}$

## Type system (excerpt)

$$\frac{\Gamma \vdash t : A^+ \quad \Gamma \vdash s : A^-}{\Gamma \vdash t \blacktriangleright_P s : P} \text{ABS} \quad \dots \quad \frac{\Gamma \vdash t : A^\ominus \quad \Gamma \vdash s : B^\ominus}{\Gamma \vdash \langle t, s \rangle^- : (A \vee B)^-} \text{IV}^-$$

$$\frac{\Gamma, x : A^\ominus \vdash t : A^+}{\Gamma \vdash \circ_{(x:A^\ominus)}^+ \cdot t : A^\oplus} \text{IO}^+ \quad \dots \quad \frac{\Gamma \vdash t : A^\oplus \quad \Gamma \vdash s : A^\ominus}{\Gamma \vdash t \bullet^+ s : A^+} \text{EO}^+$$

# The calculus $\lambda^{\text{PRK}}$

## Reduction rules

$$\begin{array}{lcl}
 \pi_i^\pm(\langle t_1, t_2 \rangle^\pm) & \xrightarrow{\beta_\wedge^+ / \beta_\vee^-} & t_i \\
 \text{case}^\pm(\text{in}_i^\pm(t)) [x.s_1] [x.s_2] & \xrightarrow{\beta_\vee^+ / \beta_\wedge^-} & s_i[x := t] \\
 \mu^\pm(\nu^\pm t) & \xrightarrow{\beta_{\neg}^+ / \beta_{\neg}^-} & t \\
 (\text{O}_x^\pm . t) \bullet^\pm s & \xrightarrow{\beta_{\circ}^+ / \beta_{\circ}^-} & t[x := s] \\
 \langle t_1, t_2 \rangle^+ \blacktriangleright \text{in}_i^-(s) & \xrightarrow{\blacktriangleright_\wedge} & (t_i \bullet^+ s) \blacktriangleright (s \bullet^- t_i) \\
 \text{in}_i^+(t) \blacktriangleright \langle s_1, s_2 \rangle^- & \xrightarrow{\blacktriangleright_\vee} & (t \bullet^+ s_i) \blacktriangleright (s_i \bullet^- t) \\
 (\nu^+ t) \blacktriangleright (\nu^- s) & \xrightarrow{\blacktriangleright_{\neg}} & (s \bullet^+ t) \blacktriangleright (t \bullet^- s) \\
 \text{O}_x^\pm . (t \bullet^\pm x) & \xrightarrow{\eta_{\circ}} & t \quad \text{if } x \notin \text{fv}(t)
 \end{array}$$

# The calculus $\lambda^{\text{PRK}}$

## Theorem (Subject Reduction)

If  $\Gamma \vdash t : P$  and  $t \rightarrow s$  then  $\Gamma \vdash s : P$ .

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If  $\Gamma \vdash t : P$  and  $t \rightarrow s$  then  $\Gamma \vdash s : P$ .

## Theorem (Duality)

1.  $\Gamma \vdash t : P$  if and only if  $\Gamma^\perp \vdash t^\perp : P^\perp$
2.  $t \rightarrow s$  if and only if  $t^\perp \rightarrow s^\perp$

where  $-\perp$  flips all the signs and exchanges dual connectives ( $\wedge, \vee$ ).

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## Theorem (Subject Reduction)

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2.  $t \rightarrow s$  if and only if  $t^\perp \rightarrow s^\perp$

where  $-\perp$  flips all the signs and exchanges dual connectives ( $\wedge, \vee$ ).

## Theorem (Convergence)

$\lambda^{\text{PRK}}$  is confluent and strongly normalizing.

- ▶ The main difficulty in the SN proof is how to deal with the mutually recursive types  $A^\oplus \simeq A^\ominus \Rightarrow A^+$  and  $A^\ominus \simeq A^\oplus \Rightarrow A^-$ .
- ▶ The SN proof is via a translation to System F with non-strictly positive recursive types, relying on a result by Mendler.



# The calculus $\lambda^{\text{PRK}}$

There have been many computational interpretations of classical logic:

1. Parigot's  $\lambda\mu$ .
2. Barbanera and Berardi's symmetric  $\lambda$ -calculus.
3. Curien and Herbelin's  $\bar{\lambda}\mu\tilde{\mu}$ .
4. Krivine's  $\lambda_c$ .
5. ...

$\lambda^{\text{PRK}}$  provides a new computational interpretation for classical logic.

# The calculus $\lambda^{\text{PRK}}$

## Example: conjunction

Taking:

$$\begin{aligned}\langle t, s \rangle &\stackrel{\text{def}}{=} \circ_{(\_:(A \wedge B)^\ominus)}^+ \cdot \langle t, s \rangle^+ \\ \pi_i(t) &\stackrel{\text{def}}{=} \circ_{(x:A_i^\ominus)}^+ \cdot \pi_i^+(t \bullet^+ \circ_{(\_:(A_1 \wedge A_2)^\oplus)}^- \cdot \text{in}_i^-(x)) \bullet^+ x\end{aligned}$$

Classical introduction and elimination of conjunction can be derived:

$$\frac{\Gamma \vdash t : A^\oplus \quad \Gamma \vdash s : B^\oplus}{\Gamma \vdash \langle t, s \rangle : (A \wedge B)^\oplus} \quad \frac{\Gamma \vdash t : (A_1 \wedge A_2)^\oplus}{\Gamma \vdash \pi_i(t) : A_i^\oplus}$$

The standard computation rule for projection can be recovered:

$$\pi_i(\langle t_1, t_2 \rangle) \rightarrow^* t_i$$

# The calculus $\lambda^{\text{PRK}}$

## A more interesting example: implication

In classical logic, implication is derivable from negation and disjunction. This can be extended to the computational level.

Let  $(A \rightarrow B) \stackrel{\text{def}}{=} (\neg A \vee B)$ .

Abstraction and application can be defined with their expected types:

$$\begin{aligned}\lambda x. t &\stackrel{\text{def}}{=} \text{O}_{(y:(A \Rightarrow B)\ominus)}^+ \cdot \text{in}_2^+(t[x := \mathbf{X}_y]) \\ \mathbf{X}_y &\stackrel{\text{def}}{=} \text{O}_{(z:A\ominus)}^+ \cdot (\mu^-(\mathbf{X}'_{y,z} \bullet \text{O}_{(\_:(\neg A)\ominus)}^+ \cdot \nu^+ z)) \bullet^+ z \\ \mathbf{X}'_{y,z} &\stackrel{\text{def}}{=} \pi_1^+(y \bullet \text{O}_{(\_:(A \Rightarrow B)\ominus)}^+ \cdot \text{in}_1^+(\text{O}_{(\_:(\neg A)\ominus)}^+ \cdot \nu^+ z)) \\ t @ s &\stackrel{\text{def}}{=} \text{IC}_{(x:B\ominus)}^+ \cdot \\ &\quad \text{case}^+ (t \bullet^+ \text{O}_{(\_:(A \rightarrow B)\oplus)}^- \cdot \langle (\text{O}_{(\_:(\neg A)\oplus)}^- \cdot \nu^- s), x \rangle^-) \\ &\quad \quad [ (y:(\neg A)\oplus) \cdot s \boxtimes_{B^+} \mu^-(y \bullet^+ \text{O}_{(\_:(\neg A)\oplus)}^- \cdot \nu^- x)] \\ &\quad \quad [ (z:B\oplus) \cdot z \bullet^+ x ]\end{aligned}$$

The standard  $\beta$ -reduction rule can be recovered:

$$(\lambda x. t) @ s \rightarrow^* t[x := s]$$

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# Kripke Semantics

A Kripke model for PRK is a structure  $\mathcal{M} = (\mathcal{W}, \leq, \mathcal{V}^+, \mathcal{V}^-)$ .

(Enjoying appropriate technical conditions).

## Forcing (excerpt)

$$\mathcal{M}, w \Vdash \alpha^+ \iff \alpha \in \mathcal{V}_w^+$$

$$\mathcal{M}, w \Vdash \alpha^- \iff \alpha \in \mathcal{V}_w^-$$

$\vdots$

$$\mathcal{M}, w \Vdash (A \vee B)^- \iff \mathcal{M}, w \Vdash A^\ominus \text{ and } \mathcal{M}, w \Vdash B^\ominus$$

$\vdots$

$$\mathcal{M}, w \Vdash A^\oplus \iff \mathcal{M}, w' \not\Vdash A^- \text{ for all } w' \geq w$$

$\vdots$

## Theorem (Soundness and Completeness)

$$\Gamma \vdash P \quad \text{if and only if} \quad \Gamma \Vdash P$$

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# Further Extensions

## Second Order $\lambda^{\text{PRK}}$

We have extended  $\lambda^{\text{PRK}}$  with implication, co-implication, and second-order quantifiers:

Pure propositions  $A ::= \dots \mid A \rightarrow A \mid A \times A \mid \forall \alpha. A \mid \exists \alpha. A$

- ▶ All of the previous results can be extended to this setting.
- ▶ The SN proof requires a completely different strategy, using reducibility candidates.

## Intuitionistic $\lambda^{\text{PRK}}$

We have identified an intuitionistic subset of  $\lambda^{\text{PRK}}$ .

The key is, essentially, to identify  $A^\oplus$  with  $A^+$  rather than with  $A^\ominus \rightarrow A^+$ .

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# Contributions

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**Key idea:**  $A^\oplus \simeq A^\ominus \Rightarrow A^+$

*A classical proof of  $A$  is a transformation that converts classical refutations of  $A$  into strong proofs of  $A$ .*

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- ▶ This interpretation motivates the logical system PRK.  
PRK is a **conservative extension** of classical logic.

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PRK is a **conservative extension** of classical logic.

- ▶ **Propositions-as-types.**

PRK corresponds to a confluent and terminating calculus  $\lambda^{\text{PRK}}$ .

It has been extended to second-order logic.

An intuitionistic fragment of  $\lambda^{\text{PRK}}$  has been identified.

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PRK is sound and complete w.r.t. a notion of Kripke model.

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## Future Work

- ▶ Relate  $\lambda^{\text{PRK}}$  with existing classical calculi.
- ▶ Extend  $\lambda^{\text{PRK}}$  with dependent types.
- ▶ In System F,  $\{\exists, \wedge, \vee, \perp, \top, \neg\}$  can be derived from  $\{\forall, \rightarrow\}$ .  
This is not true in second-order PRK (!)  
Can we identify subsets of “computationally adequate” connectives?