

## A relational $\lambda$ -calculus

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Ongoing work with Federico Lochbaum and Mariana Milicich.

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# Motivation

## Logic programming

```
father(a, b).
```

```
father(b, c).
```

```
grandfather(A, B) :-
```

```
    father(A, C),
```

```
    father(C, B).
```

```
grandson(A, B) :-
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    grandfather(B, A).
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## Functional logic programming (Curry, Mozart/OZ, ...)

```
father A = B  
father B = C
```

```
grandfather = father . father
```

```
grandson = inverse grandfather
```

```
inverse : (a -> b) -> b -> a  
inverse f b =  $\nu a. ((f a \stackrel{\bullet}{=} b) ; a)$ 
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**Inversible programs — e.g. parser  $\leftrightarrow$  pretty printer.**

# Motivation

$\lambda$ -calculus

$$t ::= x$$
$$| \lambda x. t$$
$$| t t$$

miniKanren

$$G ::= T \overset{\bullet}{=} T$$
$$| R(T_1, \dots, T_n)$$
$$| G ; G$$
$$| G \boxplus G$$
$$| \nu x. G$$

( $T, T_1, \dots$  are terms of a first-order language)

# Motivation

## $\lambda$ -calculus

$t ::= x$   
|  $\lambda x. t$   
|  $tt$

## miniKanren

$G ::= T \dot{=} T$   
|  $R(T_1, \dots, T_n)$   
|  $G ; G$   
|  $G \boxplus G$   
|  $\nu x. G$

( $T, T_1, \dots$  are terms of a first-order language)



## Related work

- ▶ Hanus et al. (2005)  
*Operational Semantics for Declarative Multi-Paradigm Languages*
- ▶ Rozplochas, Vyatkin, Boulytchev (2019)  
*Certified Semantics for miniKanren*
- ▶ Lambda-calculi with stochastic/erratic choice.



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## Our first approach

$t ::= x$	variable
$\lambda x. t$	abstraction
$t t$	application
$\mathbf{c}$	constructor
$\text{FAIL}$	explicit failure
$t \overset{\bullet}{=} t$	unification
$t ; t$	sequence
$t \boxplus t$	non-deterministic alternative
$\nu x. t$	fresh variable

## Our first approach

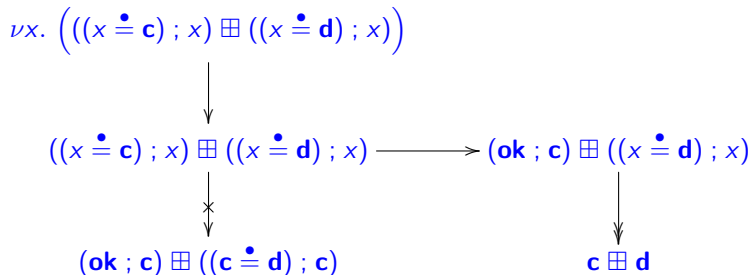
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	$t \boxplus t$	non-deterministic alternative
	$\nu x. t$	fresh variable

For example, if  $M \stackrel{\text{def}}{=} \lambda x. \nu y. \left( ((x \dot{=} \mathbf{c} y) ; y) \boxplus ((x \dot{=} \mathbf{d}) ; x) \right)$ :

$$\begin{aligned} M(\mathbf{c}e) &\longrightarrow \nu y. \left( ((\mathbf{c}e \dot{=} \mathbf{c}y) ; y) \boxplus ((\mathbf{c}e \dot{=} \mathbf{d}) ; x) \right) \\ &\longrightarrow ((\mathbf{c}e \dot{=} \mathbf{c}y) ; y) \boxplus ((\mathbf{c}e \dot{=} \mathbf{d}) ; x) \\ &\longrightarrow (\mathbf{ok} ; e) \boxplus ((\mathbf{c}e \dot{=} \mathbf{d}) ; x) \\ &\longrightarrow e \boxplus ((\mathbf{c}e \dot{=} \mathbf{d}) ; x) \\ &\longrightarrow e \boxplus \text{FAIL} \\ &\longrightarrow e \end{aligned}$$

# Technical challenges

Fresh variables should be local to “threads” delimited by  $\boxplus$



## Technical challenges

Commutative conversions are needed to unblock redexes

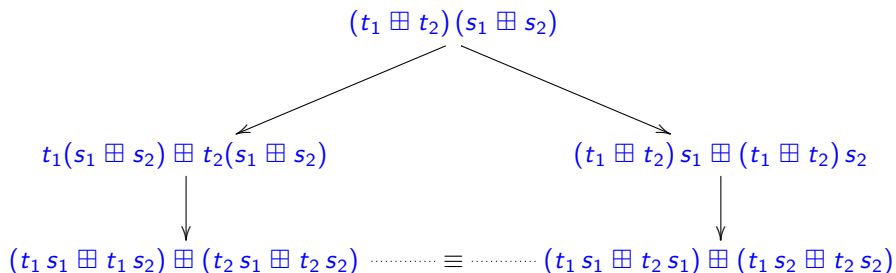
$$(t \boxplus \lambda x. s) u \longrightarrow (t u) \boxplus ((\lambda x. s) u)$$

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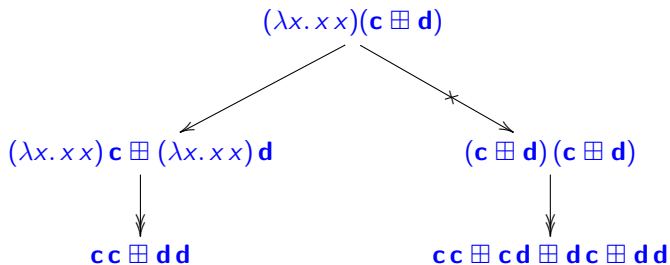
$$(t \boxplus \lambda x. s) u \longrightarrow (t u) \boxplus ((\lambda x. s) u)$$

...so we must work up to associativity and commutativity of  $\boxplus$



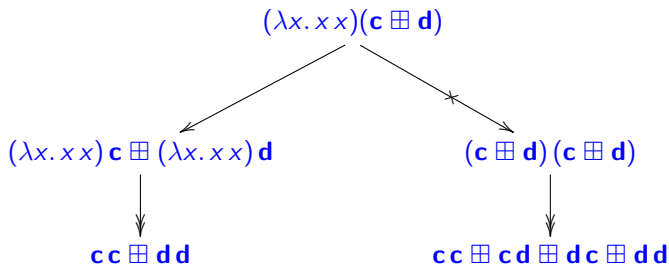
# Technical challenges

Non-deterministic choice is an effect (not a value)



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Non-deterministic choice is an effect (not a value)



It does not commute with abstraction

$$(\lambda x. t) \boxplus (\lambda x. s) \neq \lambda x. (t \boxplus s)$$
$$\begin{aligned} (\lambda f. (f \text{ ok}) (f \text{ ok}))((\lambda x. c) \boxplus (\lambda x. d)) &\rightarrow cc \boxplus dd \\ (\lambda f. (f \text{ ok}) (f \text{ ok}))(\lambda x. (c \boxplus d)) &\rightarrow cc \boxplus cd \boxplus dc \boxplus dd \end{aligned}$$

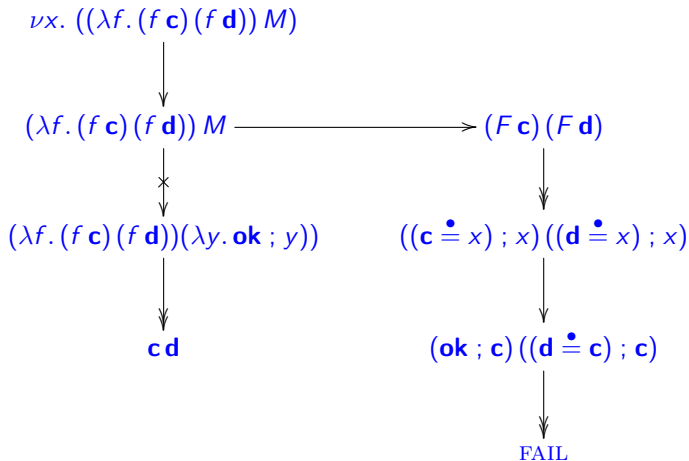


# Technical challenges

Unification should only be performed under weak contexts

Let  $F \stackrel{\text{def}}{=} \lambda y. ((y \dot{=} x) ; x)$ .

If we allow reduction under lambdas,  $F \rightarrow \lambda y. (\mathbf{ok} ; y)$ .



# Technical challenges

We cannot solve higher-order unification

$$\nu f. ((f \mathbf{c} \doteq \mathbf{c}) ; f) \longrightarrow ?$$

- ▶ There are no most general unifiers.
- ▶ Higher-order unification is undecidable.

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$$\nu f. ((f \mathbf{c} \dot{=} \mathbf{c}) ; f) \longrightarrow ?$$

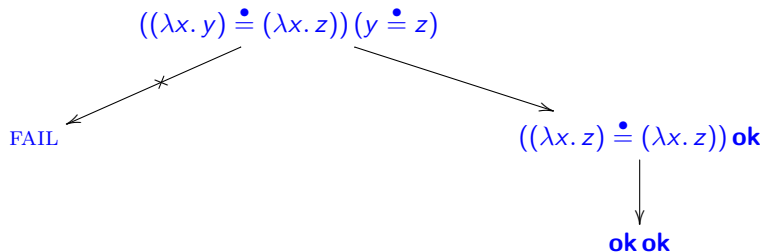
- ▶ There are no most general unifiers.
- ▶ Higher-order unification is undecidable.

...but we do want to pattern match against functions

$$(\mathbf{c}x \dot{=} \mathbf{c}(\lambda y. y)) ; (x \dot{=} x) \longrightarrow (\lambda y. y) \dot{=} (\lambda y. y) \longrightarrow \mathbf{ok}$$

# Technical challenges

Comparing functions by syntactic equality breaks confluence



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# The $\lambda^U$ -calculus

Terms	$t ::=$	$x$	variable
		$\lambda x. P$	abstraction
		$\lambda^\ell x. P$	allocated abstraction
		$t t$	application
		$\mathbf{c}$	constructor
		$t \dot{=} t$	unification
		$t ; t$	sequence
		$\nu x. t$	fresh variable
Programs	$P ::=$	$\mathbf{fail}$	empty program
		$t \oplus P$	non-deterministic alternative

Programs are of the form  $P = t_1 \oplus \dots \oplus t_n$ .

$$\mathbf{FAIL} \stackrel{\text{def}}{=} (\lambda x. \mathbf{fail}) \mathbf{ok} \quad (t \boxplus s) \stackrel{\text{def}}{=} (\lambda x. t \oplus s) \mathbf{ok}$$

## Invariant

Two abstractions with the same location are equal.

# The $\lambda^U$ -calculus

Values	$v ::=$	$x$   $\lambda^\ell x. P$   $\mathbf{c} v_1 \dots v_n$
Weak contexts	$W ::=$	$\square$   $W t$   $t W$   $W \dot{=} t$   $t \dot{=} W$   $W ; t$   $t ; W$

Usual operation to plug a term into a context:

$$W\langle t \rangle$$

Plus an operation to plug a program into a context:

$$W\langle t_1 \oplus \dots \oplus t_n \rangle \stackrel{\text{def}}{=} W\langle t_1 \rangle \oplus \dots \oplus W\langle t_n \rangle$$

## Reduction rules

Rules operate on the toplevel program.

$$P_1 \oplus W\langle\lambda x. Q\rangle \oplus P_2 \xrightarrow{\text{alloc}} P_1 \oplus W\langle\lambda^\ell x. Q\rangle \oplus P_2 \quad \ell \text{ fresh}$$



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$$P_1 \oplus W\langle (\lambda^\ell x. Q) v \rangle \oplus P_2 \xrightarrow{\text{beta}} P_1 \oplus W\langle Q\{x := v\} \rangle \oplus P_2$$

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$$P_1 \oplus W\langle v \stackrel{\bullet}{=} w\rangle \oplus P_2 \xrightarrow{\text{unif}} P_1 \oplus W\langle\text{ok}\rangle^{\sigma} \oplus P_2$$

$\sigma = \text{mgu}(\{v \stackrel{\bullet}{=} w\})$

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$$P_1 \oplus W\langle v \overset{\bullet}{=} w\rangle \oplus P_2 \xrightarrow{\text{fail}} P_1 \oplus P_2$$

if  $\text{mgu}(\{v \overset{\bullet}{=} w\})$  fails

# Unification

The most general unifier:

$$\text{mgu}(\{v_1 \stackrel{\bullet}{=} w_1, \dots, v_n \stackrel{\bullet}{=} w_n\})$$

can be computed as usual, with a few tweaks on the algorithm:

$$\{\lambda^{\ell}x. P \stackrel{\bullet}{=} \lambda^{\ell'}y. Q\} \uplus G \rightsquigarrow \begin{cases} G & \text{if } \ell = \ell' \\ \text{fails} & \text{otherwise} \end{cases}$$

If  $\text{mgu}(G)$  succeeds, it is an *idempotent most general unifier* for  $G$ .

# Example

father  $\stackrel{\text{def}}{=} \lambda x. ((x \stackrel{\bullet}{=} \mathbf{a} ; \mathbf{b}) \oplus (x \stackrel{\bullet}{=} \mathbf{b} ; \mathbf{c}))$   
grandfather  $\stackrel{\text{def}}{=} \lambda x. \text{father}(\text{father } x)$   
grandson  $\stackrel{\text{def}}{=} \text{inverse grandfather}$   
inverse  $\stackrel{\text{def}}{=} \lambda f. \lambda y. \nu x. (f x \stackrel{\bullet}{=} y ; x)$

grandson **c**  $\rightarrow \nu x. ((\text{grandfather } x \stackrel{\bullet}{=} \mathbf{c}) ; x)$

$\rightarrow (\text{father}(\text{father } x) \stackrel{\bullet}{=} \mathbf{c}) ; x$

$\rightarrow (((\text{father } x \stackrel{\bullet}{=} \mathbf{a}) ; \mathbf{b}) \stackrel{\bullet}{=} \mathbf{c}) ; x$   
 $\oplus (((\text{father } x \stackrel{\bullet}{=} \mathbf{b}) ; \mathbf{c}) \stackrel{\bullet}{=} \mathbf{c}) ; x$

$\rightarrow (((((x \stackrel{\bullet}{=} \mathbf{a} ; \mathbf{b}) \stackrel{\bullet}{=} \mathbf{a}) ; \mathbf{b}) \stackrel{\bullet}{=} \mathbf{c}) ; x$   
 $\oplus (((((x \stackrel{\bullet}{=} \mathbf{b} ; \mathbf{c}) \stackrel{\bullet}{=} \mathbf{a}) ; \mathbf{b}) \stackrel{\bullet}{=} \mathbf{c}) ; x$   
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 $\oplus (((((x \stackrel{\bullet}{=} \mathbf{b} ; \mathbf{c}) \stackrel{\bullet}{=} \mathbf{b}) ; \mathbf{c}) \stackrel{\bullet}{=} \mathbf{c}) ; x$

$\rightarrow \mathbf{a}$

# Example

Type inference algorithm for the simply-typed  $\lambda$ -calculus:

$$\begin{aligned}\mathbb{W}[x] &\stackrel{\text{def}}{=} a_x \\ \mathbb{W}[\lambda x. t] &\stackrel{\text{def}}{=} \nu a_x. \text{fun } a_x \mathbb{W}[t] \\ \mathbb{W}[t s] &\stackrel{\text{def}}{=} \nu a. ((\mathbb{W}[t] \bullet \text{fun } \mathbb{W}[s] a) ; a)\end{aligned}$$

$$\begin{aligned}\mathbb{W}[\lambda x. \lambda y. y x] &= \nu a. \text{fun } a (\nu b. \text{fun } b (\nu c. (b \bullet \text{fun } a c) ; c)) \\ &\rightarrow \text{fun } a (\text{fun } (\text{fun } a c) c)\end{aligned}$$



# Example

Dynamic patterns:

$$(\lambda c. \lambda x. \nu y. (x \dot{=} (c y)) ; y) \mathbf{d}(\mathbf{d} \mathbf{c})$$
$$\rightarrow \nu y. ((\mathbf{d} \mathbf{c}) \dot{=} (\mathbf{d} y)) ; y$$
$$\rightarrow \mathbf{c}$$

# Structural equivalence

## Structural equivalence (between toplevel programs)

Reflexive, symmetric, and transitive closure of:

$$P \oplus t \oplus s \oplus Q \equiv P \oplus s \oplus t \oplus Q$$

$$P \oplus t \oplus Q \equiv P \oplus t\{x := y\} \oplus Q \quad \text{if } y \notin \text{fv}(t)$$

$$P \oplus t \oplus Q \equiv P \oplus t\{\ell := \ell'\} \oplus Q \quad \text{if } \ell' \notin \text{locs}(t)$$

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## Lemma

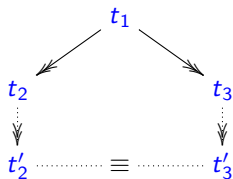
$\equiv$  is a strong bisimulation with respect to  $\xrightarrow{r}$  for each reduction rule  $r$ .

$$\begin{array}{ccc} t & \equiv & t' \\ \downarrow r & & \downarrow r \\ s & \dots \equiv \dots & s' \end{array}$$

# Confluence

## Theorem

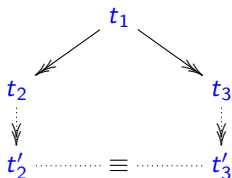
*The  $\lambda^{\cup}$ -calculus is confluent up to  $\equiv$ .*



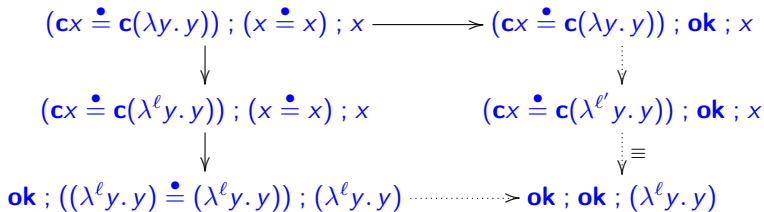
# Confluence

## Theorem

The  $\lambda^U$ -calculus is confluent up to  $\equiv$ .

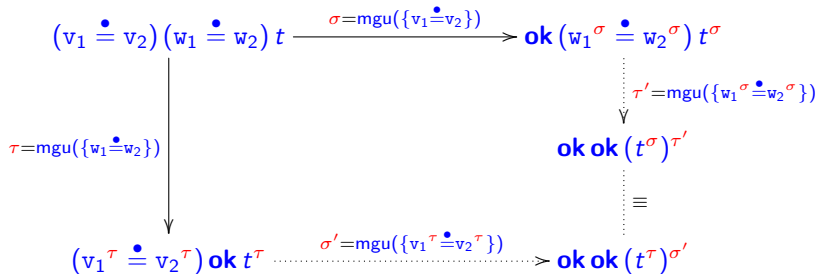


## Example



# Confluence

## Another example



The equivalence relies on the fact that:

$\tau' \circ \sigma$  and  $\sigma' \circ \tau$  are both most general unifiers of  $\{\{v_1 \dot{=} v_2, w_1 \dot{=} w_2\}\}$

hence  $\tau' \circ \sigma \equiv \sigma' \circ \tau$ , up to renaming.

# Proof of confluence

Simultaneous reduction  $t \xRightarrow{G} P$  collects all the unification goals  $G$ .

$$\begin{array}{c}
 t \xRightarrow{G_1} \bigoplus_{i=1}^n t_i \quad s \xRightarrow{G_2} \bigoplus_{j=1}^m s_j \\
 \hline
 t s \xRightarrow{G_1 \cup G_2} \bigoplus_{i=1}^n \bigoplus_{j=1}^m t_i s_j
 \end{array}
 \quad
 \begin{array}{c}
 \hline
 v \doteq w \xRightarrow{\{v \doteq w\}} \mathbf{ok}
 \end{array}$$

Moreover:

$$\begin{array}{c}
 t_i \xRightarrow{G_i} P_i \quad Q_i := \begin{cases} P_i^\sigma & \text{if } \sigma = \text{mgu}(G_i) \\ \mathbf{fail} & \text{if } \text{mgu}(G_i) \text{ fails} \end{cases} \quad \text{for each } i = 1..n \\
 \hline
 \bigoplus_{i=1}^n t_i \Rightarrow \bigoplus_{i=1}^n Q_i
 \end{array}$$

# Proof of confluence

## Key lemma

If  $t \xRightarrow{G} P$  then  $t^\sigma \xRightarrow{G^\sigma} P^\sigma$ .

Tait–Martin–Löf’s technique, up to  $\equiv$

1.  $\rightarrow \subseteq \Rightarrow \equiv$
2.  $\Rightarrow \subseteq \rightarrow^* \equiv$
3.  $\Rightarrow$  has the diamond property, up to  $\equiv$ .



# Normal forms

## Normal programs

$$P^* ::= \bigoplus_{i=1}^n t_i^*$$

## Normal terms

$$t^* ::= v \mid S$$

## Stuck terms

$S$	$::=$	$x t_1^* \dots t_n^*$	$n > 0$
		$c t_1^* \dots t_n^*$	if $t_i^*$ stuck for some $i = 1..n$
		$(t_1^* ; t_2^*) s_1^* \dots s_n^*$	if $t_1^*$ stuck
		$(t_1^* \dot{=} t_2^*) s_1^* \dots s_n^*$	if $t_i^*$ stuck for some $i = 1..2$
		$(\lambda^\ell x. P) t^* s_1^* \dots s_n^*$	if $t^*$ stuck

## Proposition

Normal forms of  $\rightsquigarrow$  are given exactly by the grammar  $P^*$ .

## Example

$$f c \dot{=} c$$

# Type system

Providing a system of **simple types** is straightforward.

The rule for  $\nu x. t$  is logically unsound.

$$\frac{\Gamma, x : B \vdash t : A}{\Gamma \vdash \nu x. t : A}$$

(Weak) subject reduction

- ▶ If  $\Gamma \vdash P : A$  and  $P \xrightarrow{\neg\text{fresh}} Q$  then  $\Gamma \vdash Q : A$ .
- ▶ If  $\Gamma \vdash P : A$  and  $P \xrightarrow{\text{fresh}(x)} Q$  then  $\Gamma, x : B \vdash Q : A$  for some  $B$ .

# Normalization (?)

We have **not** been able to prove **strong normalization** for the simply typed variant of  $\lambda^U$  using:

- ▶ Reducibility candidates (Tait/Girard)
- ▶ Decreasing degrees of created redexes (Turing, Prawitz, ...)
- ▶ Increasing functionals (de Vrijer)
- ▶ Stratified regions (Amadio)

Types of constructors should verify a positivity condition.

E.g. if  $\mathbf{c} : (A \rightarrow A) \rightarrow A$  we can type a non-terminating term:

$$\omega \stackrel{\text{def}}{=} \lambda x^A. \nu y^{A \rightarrow A}. (\mathbf{c}y \doteq x ; y x)$$

$$\omega(\mathbf{c}\omega) \rightarrow^+ \omega(\mathbf{c}\omega)$$

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# A naive denotational semantics

$$\llbracket A \rightarrow B \rrbracket \stackrel{\text{def}}{=} \llbracket A \rrbracket \rightarrow \mathcal{P}(\llbracket B \rrbracket)$$

$$\llbracket x^A \rrbracket_\rho \stackrel{\text{def}}{=} \{\rho(x^A)\}$$

$$\llbracket \mathbf{c} \rrbracket_\rho \stackrel{\text{def}}{=} \{\mathbf{R}_\mathbf{c}\}$$

$$\llbracket \lambda x^A. P \rrbracket_\rho \stackrel{\text{def}}{=} \{\lambda a^{[A]}. \llbracket P \rrbracket_{\rho[x^A \mapsto a]}\}$$

$$\llbracket \lambda^\ell x^A. P \rrbracket_\rho \stackrel{\text{def}}{=} \{\lambda a^{[A]}. \llbracket P \rrbracket_{\rho[x^A \mapsto a]}\}$$

$$\llbracket t s \rrbracket_\rho \stackrel{\text{def}}{=} \{b \mid f \in \llbracket t \rrbracket_\rho, a \in \llbracket s \rrbracket_\rho, b \in f(a)\}$$

$$\llbracket t \dot{=} s \rrbracket_\rho \stackrel{\text{def}}{=} \{\mathbf{R}_{\text{ok}} \mid a \in \llbracket t \rrbracket_\rho, b \in \llbracket s \rrbracket_\rho, a = b\}$$

$$\llbracket t ; s \rrbracket_\rho \stackrel{\text{def}}{=} \{a \mid b \in \llbracket t \rrbracket_\rho, a \in \llbracket s \rrbracket_\rho\}$$

$$\llbracket \nu x^A. t \rrbracket_\rho \stackrel{\text{def}}{=} \{b \mid a \in \llbracket A \rrbracket, b \in \llbracket t \rrbracket_{\rho[x^A \mapsto a]}\}$$

$$\llbracket \text{fail}^A \rrbracket_\rho \stackrel{\text{def}}{=} \emptyset$$

$$\llbracket t \oplus P \rrbracket_\rho \stackrel{\text{def}}{=} \llbracket t \rrbracket_\rho \cup \llbracket P \rrbracket_\rho$$

$$\llbracket P \rrbracket \stackrel{\text{def}}{=} \bigcup_\rho \llbracket P \rrbracket_\rho$$

# A naive denotational semantics

## Correctness

If  $P \rightarrow Q$  then  $\llbracket P \rrbracket = \llbracket Q \rrbracket$ .

(Work in progress)

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## Future work

- Translation from a pattern calculus (e.g. PPC) ★★
- Extend with new constructs (e.g. " $\forall(P)$ ") ★★★
- Evaluation strategies / abstract machines ★★★
- Richer type systems (e.g. instantiation patterns) ★★★★★
- Strong normalization ★★★★★  
"Truly frightening"