

Optimal reduction in the Linear Substitution Calculus

(work in progress)

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Optimality

Motivation (in the context of the λ -calculus)

- ▶ By the standardization theorem, the leftmost-outermost strategy is correct (normalizing).
- ▶ But normal-order evaluation is certainly not “optimal”:

$$(\lambda x. x x) R \rightarrow R R \quad \text{two copies of } R!$$

- ▶ Reducing needed internal redexes is not optimal:

$$(\lambda x. x I) (\lambda y. \Delta (y z)) \rightarrow \dots \quad \text{where } \Delta := \lambda x. x x$$

- ▶ *Lazy evaluation*, introduced by Wadsworth in 1971, improves the situation by sharing the argument with pointers but is also not optimal:

$$(\lambda x. x y (x z)) \lambda w. I w \rightarrow \dots$$

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Some questions, studied by Lévy in his PhD thesis (1978)

What would it mean for a reduction to be optimal?

Are there optimal reduction strategies?

Can optimal reduction be implemented efficiently?

What would it mean for a reduction to be optimal?

Informally

- ▶ Avoid doing useless work \implies contract needed redexes only.
- ▶ Avoid duplicating work \implies share multiple copies of redexes.

Less informally

1. Characterize the notion of *redex family*.

- ▶ Redexes in the same family are “copies” of the same redex.
- ▶ *Example.* $\Delta(\lambda x. \Delta(x y)) \rightarrow \dots$ with $\Delta := \lambda x. x x$.
- ▶ *Non-examples.* redexes that happen to coincide: $z(I x)(I x)$; syntactic accidents: $I(I I) \rightarrow \dots$
- ▶ We care about *redexes with history* ρR rather than redexes.

Why?

- ▶ Define a family equivalence relation: $\rho R \simeq \sigma S$.
- ▶ Lévy gives three equivalent characterizations.
We'll focus on this later.

2. Let $[\rho R]$ denote the family class of ρR :

$$[\rho R] \stackrel{\text{def}}{=} \{\sigma S \mid \rho R \simeq \sigma S\}$$

3. If $\rho = R_1 \dots R_n$ is a reduction sequence, let $\text{FAM}(\rho)$ denote the families contracted along ρ :

$$\text{FAM}(\rho) \stackrel{\text{def}}{=} \{[R_1 R_2 \dots R_i] \mid i \in \{1, \dots, n\}\}$$

4. A redex ρR is needed iff any extension $\rho\sigma$ to normal form contracts a residual of R .
5. A derivation $\rho = \mathcal{F}_1 \mathcal{F}_2 \dots \mathcal{F}_n$ is call-by-need iff each \mathcal{F}_i contains at least one needed redex R_i .
6. A derivation $\rho = \mathcal{F}_1 \mathcal{F}_2 \dots \mathcal{F}_n$ is complete iff $\mathcal{F}_i \neq \emptyset$ and \mathcal{F}_i is a maximal set of redexes such that:

$$\forall R, S \in \mathcal{F}_i. \quad \mathcal{F}_1 \dots \mathcal{F}_{i-1} R \simeq \mathcal{F}_1 \dots \mathcal{F}_{i-1} S$$

7. Define $\text{cost}(\rho)$ to measure the number of steps in a derivation, assigning unitary cost to the reduction of a set of shared copies.
8. **Theorem (Lévy)** Complete call-by-need derivations compute the normal form in optimal cost, i.e.:

- ▶ if ρ is a complete call-by-need derivation: $\text{cost}(\rho) = \#\text{FAM}(\rho)$
- ▶ if σ is a terminating reduction starting from the same term, $\text{cost}(\sigma) \geq \#\text{FAM}(\sigma) \geq \#\text{FAM}(\rho) = \text{cost}(\rho)$

Are there optimal reduction strategies?

Can optimal reduction be implemented efficiently?

Optimal reduction: *it comes with a free frogurt!*

😊 Lévy (1978): optimal derivations have optimal cost.

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😞 There cannot exist an optimal reduction *strategy*.

$(\lambda x.x / x) (\lambda y.\Delta (y z))$ with $\Delta := \lambda x.x x$

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??? Asperti and Mairson (1998) show that optimal reduction cannot be implemented efficiently: $n = \mathbf{cost}(\rho)$ parallel beta steps is not bounded by $O(2^n)$, $O(2^{2^n})$, $O(2^{2^{2^n}})$, etc.

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??? Can a realistic notion of optimal reduction be devised?

Characterizing redex families

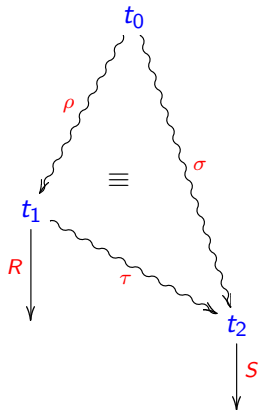
Lévy gives three equivalent characterizations of the family relation:

$$\rho R \simeq \sigma S$$

in the λ -calculus using various tools:

1. Zig-zag.
2. Extraction.
3. Labelling.

Families by zig-zag



Definition (copy).

$$\rho R \leq \sigma S$$

A redex with history σS is a *copy* of a redex with history ρR iff there is a derivation τ such that $\rho\tau \equiv \sigma$ and $S \in R/\tau$.

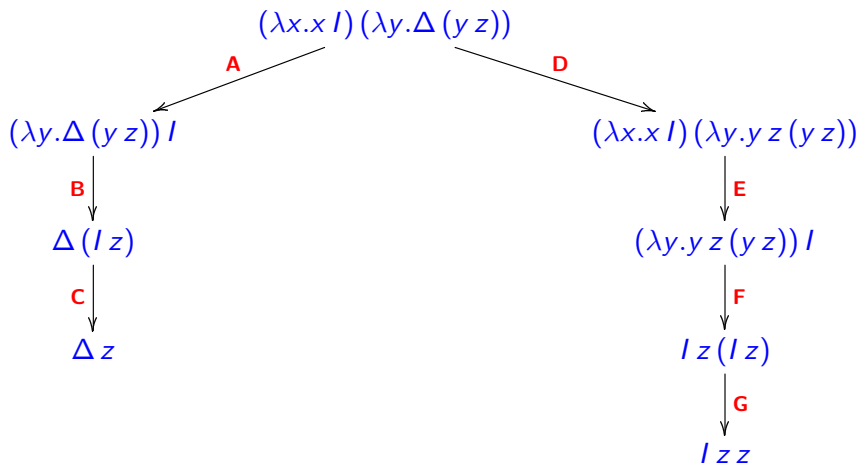
Definition (family).

$$\rho R \simeq \sigma S$$

The symmetric and transitive closure of \leq defines the *family* relation.

Families by zig-zag: example

Let $I := \lambda x.x$ and $\Delta := \lambda x.x x$:



ABC \simeq **DEFG** ??

Families by extraction

Define a rewriting relation between reduction sequences, the **extraction relation**:

$$\rho R \triangleright \sigma S$$

Informally. \triangleright erases the steps of ρ that do **not** contribute to the creation of the redex R .

Less informally. Let σ stand for any non-empty reduction sequence. Then \triangleright is defined by:

- (1) $\rho R S \triangleright \rho S'$ if $S \in S'/R$
- (2) $\rho(R \sqcup \sigma) \triangleright \rho\sigma$ if R and σ are disjoint
- (3) $\rho(R \sqcup \sigma) \triangleright \rho\sigma$ if σ is internal to the function part of R
- (4) $\rho R \tau \triangleright \rho\sigma$ if τ is internal
to the i -th copy of the argument of R
and σ is the corresponding reduction,
internal to the argument of R^*

* The formal statement requires quite more work ($\sigma/R = \tau||R$).

Families by extraction: properties

Theorem (Lévy). \triangleright is SN and CR.

Theorem (Lévy). \triangleright decides the family relation \simeq . More precisely, let ρ and σ be **standard** reductions. Then:

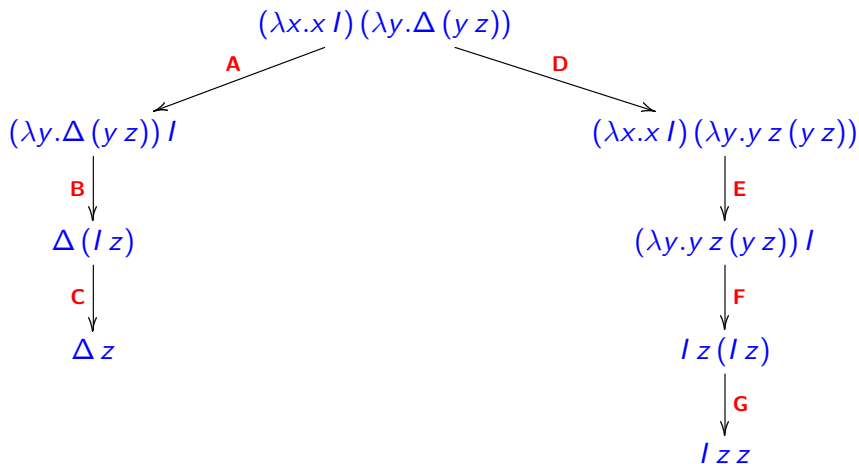
$$\rho R \simeq \sigma S \quad \text{iff} \quad \rho R \triangleright^* \tau T \triangleleft^* \sigma S$$

for some \triangleright -normal redex-with-history τT .

Note: to check $\rho R \simeq \sigma S$ in the general case, standardize ρ and σ first.

Families by extraction: example

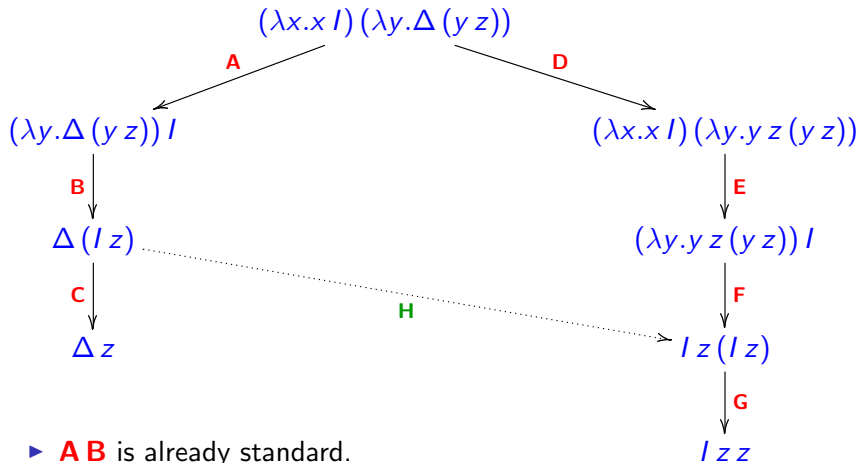
Let $I := \lambda x.x$ and $\Delta := \lambda x.x x$:



ABC \simeq **DEFG** ??

Families by extraction: example contd.

Let $I := \lambda x.x$ and $\Delta := \lambda x.x x$:



- ▶ **AB** is already standard.
- ▶ **DEF** is standardized to **ABH**
- ▶ **ABHG** \triangleright **ABC** by case 1 (and also 4!) of \triangleright
- ▶ *Moral:* **A** and **B** contribute to the creation of **G**. – **H** doesn't.

Families by labelling

Informally. Work with a labelled variant of the calculus in such a way that:

- ▶ Redexes with history ρR are assigned a label α (“name”).
- ▶ Residuals of R have the same name as R .
- ▶ If contraction of a redex R creates a redex S , the name of R is a proper sublabel of the name of S .

Less informally.

Labels	α, β, \dots	$::=$	$\underbrace{\mathbf{a} \mid \mathbf{b} \mid \mathbf{c} \mid \dots}_{\text{initial labels}} \mid \alpha\beta \mid [\alpha] \mid [\alpha]$ modulo associativity: $\alpha(\beta\gamma) = (\alpha\beta)\gamma$
Labelled terms	t, s, \dots	$::=$	$x^\alpha \mid \lambda^\alpha x.t \mid @^\alpha(t, s)$
Adding a label	$\alpha : x^\beta$	$\stackrel{\text{def}}{=}$	$x^{\alpha\beta}$
	$\alpha : \lambda^\beta x.t$	$\stackrel{\text{def}}{=}$	$\lambda^{\alpha\beta} x.t$
	$\alpha : @^\beta(t, s)$	$\stackrel{\text{def}}{=}$	$@^{\alpha\beta}(t, s)$
Substitution	$x^\alpha[x/t]$	$\stackrel{\text{def}}{=}$	$\alpha : t$ (plus the expected rules)
Reduction	$@^\alpha(\lambda^\beta x.t, s)$	\rightarrow_ℓ	$\alpha[\beta] : t\{x := [\beta] : s\}$

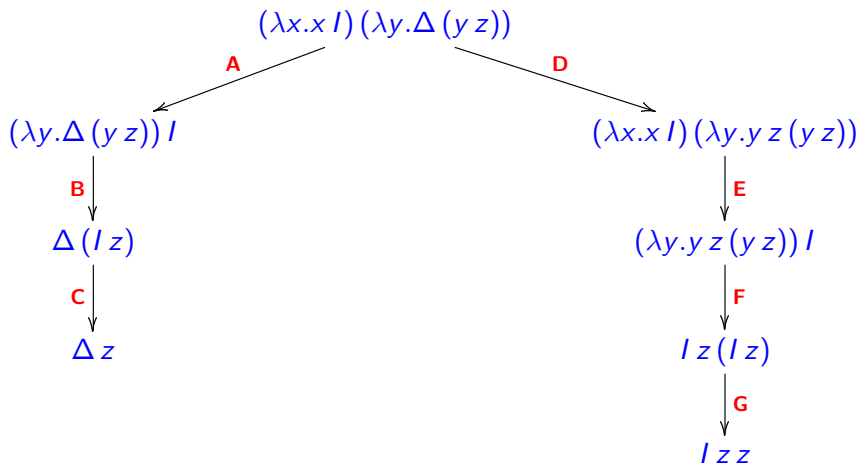
Families by labelling: properties

- ▶ A term is **initially labelled** iff all of its nodes are decorated with pairwise distinct initial labels.
- ▶ The **name** (or **degree**) of a redex is the label decorating its abstraction.
- ▶ **Theorem (Lévy)**. Let ρR and σS be redexes with history starting from the same initially labelled term. Then:

$$\rho R \text{ and } \sigma S \text{ have the same name} \quad \iff \quad \rho R \simeq \sigma S$$

Families by labelling: example

Let $I := \lambda x.x$ and $\Delta := \lambda x.x x$:



ABC \simeq **DEFG** ??

Families by labelling: example contd.

Let $I := \lambda^a x. x^b$ and $\Delta := \lambda^c x. @^d(x^e, x^f)$:

► **ABC**:

$$\begin{array}{l}
 \xrightarrow{\mathbf{h}}_{\ell} \\
 \xrightarrow{\mathbf{j[h]k}}_{\ell} \\
 \xrightarrow{\mathbf{n[j[h]k]a}}_{\ell}
 \end{array}
 \begin{array}{l}
 @^g(\lambda^h x. @^i(x^j, I), \lambda^k y. @^l(\Delta, @^m(y^n, z^o))) \\
 @^g[h]i(\lambda^j[h]k y. @^l(\Delta, @^m(y^n, z^o)), I) \\
 @^g[h]i[j[h]k]l(\Delta, @^m(n[j[h]k] : I, z^o)) \\
 @^g[h]i[j[h]k]l(\Delta, z^{m[n[j[h]k]a]b[n[j[h]k]a]o})
 \end{array}$$

► **DEFG**:

$$\begin{array}{l}
 \xrightarrow{\mathbf{c}}_{\ell} \\
 \xrightarrow{\mathbf{h}}_{\ell} \\
 \xrightarrow{\mathbf{j[h]k}}_{\ell} \\
 \xrightarrow{\mathbf{n[j[h]k]a}}_{\ell}
 \end{array}
 \begin{array}{l}
 @^g(\lambda^h x. @^i(x^j, I), \lambda^k y. @^l(\Delta, @^m(y^n, z^o))) \\
 @^g(\lambda^h x. @^i(x^j, I), \lambda^k y. @^{l[c]d}(@^{e[c]m}(y^n, z^o), @^{f[c]m}(y^n, z^o))) \\
 @^g[h]i(\lambda^j[h]k y. @^{l[c]d}(@^{e[c]m}(y^n, z^o), @^{f[c]m}(y^n, z^o)), I) \\
 @^g[h]i[j[h]k]l[c]d(@^{e[c]m}(n[j[h]k] : I, z^o), @^{f[c]m}(n[j[h]k] : I, z^o)) \\
 @^g[h]i[j[h]k]l[c]d(@^{e[c]m}(n[j[h]k] : I, z^o), z^{f[c]m[n[j[h]k]a]b[n[j[h]k]a]o})
 \end{array}$$

► Then **ABC** \simeq **DEFG**.

► Note that $\mathbf{h} \subset \mathbf{j[h]k} \subset \mathbf{n[j[h]k]a} \not\subset \mathbf{c}$.

The Lévy labelled λ -calculus: more properties

- ▶ A predicate \mathcal{P} on labels is said to be **bounded** iff there exists a bound $M \in \mathbb{N}$ such that for every label α :

$$\mathcal{P}(\alpha) \implies \mathbf{h}(\alpha) \leq M$$

where $\mathbf{h}(\alpha)$ is the height of α (seen as a tree).

Then:

- ▶ **Theorem (Lévy).** The labelled λ -calculus restricted to any predicate is Church-Rosser. (In particular, it is CR).
- ▶ **Theorem (Lévy).** The labelled λ -calculus restricted to any bounded predicate is SN.
- ▶ There are three ways of creating redexes in the λ -calculus. They are the generalizations of the following examples:
 - ▶ $(\lambda x. \lambda y. t) s u \rightarrow (\lambda y. t\{x := s\}) u$
 - ▶ $(\lambda x. x) (\lambda x. t) s \rightarrow (\lambda x. t) s$
 - ▶ $(\lambda x. x y) \lambda z. s \rightarrow (\lambda z. s) y$

Key property.

The name of a redex contains the names of all of its “causes” as sublabels.

The *linear substitution calculus* (LSC)

- ▶ Calculus of explicit substitutions “at a distance”.
- ▶ Similar to calculi studied by Milner, De Bruijn, Nederpelt.
- ▶ Promoted by Accattoli and Kesner.

The *linear substitution calculus*: definition

- ▶ Terms and contexts:

$$t, s, u, \dots ::= x \mid \lambda x.t \mid t s \mid t[x/s]$$

$$L ::= \square \mid L[x/t]$$

$$C ::= \square \mid \lambda x.C \mid C s \mid t C \mid C[x/s] \mid t[x/C]$$

- ▶ Notation for plugging terms into contexts:

$C\langle t \rangle$ (capturing) vs. $C\langle\langle t \rangle\rangle$ (non-capturing)

tL rather than $L\langle t \rangle$

- ▶ Reduction:

$$\begin{array}{lcl} (\lambda x.t)L s & \rightarrow_{\text{db}} & t[x/s]L \\ C\langle\langle x \rangle\rangle[x/t] & \rightarrow_{\text{ls}} & C\langle t \rangle[x/t] \\ t[x/s] & \rightarrow_{\text{gc}} & t \end{array} \quad \text{if } x \notin \text{fv}(t)$$

- ▶ Example:

$$(\lambda x.\lambda y.x x) t s \rightarrow \dots$$

Redex creation in the LSC

There are seven redex creation cases. They are the generalizations of the following examples:

db creates db.	$(\lambda x. \lambda y. t) s u$	\rightarrow_{db}	$(\underline{\lambda} y. t)[x/s] u$
db creates ls.	$(\lambda x. x) t$	\rightarrow_{db}	$\underline{x}[x/t]$
db creates gc.	$(\lambda x. y) t$	\rightarrow_{db}	$y[\underline{x}/t]$
ls creates db[↑].	$x[x/\lambda y. t] s$	\rightarrow_{ls}	$(\underline{\lambda} y. t)[x/\lambda y. t] s$
ls creates db[↓].	$(x s)[x/\lambda y. t]$	\rightarrow_{ls}	$((\underline{\lambda} y. t) s)[x/\lambda y. t]$
ls creates gc.	$x[x/t]$	\rightarrow_{ls}	$t[\underline{x}/t]$
gc creates gc.	$a[x/y][y/z]$	\rightarrow_{gc}	$a[\underline{y}/z]$

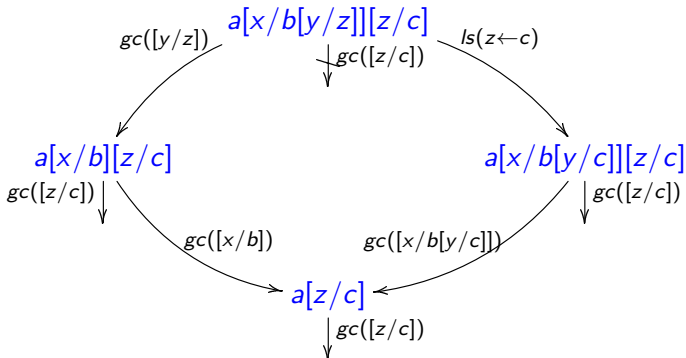
The labelled LSC

Labels	α, β, \dots	$::=$	$\mathbf{a} \mid \alpha\beta \mid \lceil\alpha\rceil \mid \lfloor\alpha\rfloor \mid \mathbf{db}(\alpha)$ mod associativity: $\alpha(\beta\gamma) = (\alpha\beta)\gamma$ distinguished initial labels: \bullet, \otimes
Label sets	Ω	$::=$	$\{\mathbf{a}_1, \dots, \mathbf{a}_n\}$ only initial labels, treated as sets
Terms	t, s, \dots	$::=$	$x^\alpha \mid \lambda_\Omega^\alpha x.t \mid @^\alpha(t, s) \mid t[x/s]_\Omega$
Adding labels	$\alpha : x^\beta$ $\alpha : \lambda_\Omega^\beta x.t$ $\alpha : @^\beta(t, s)$ $\alpha : (t[x/s]_\Omega)$	$\stackrel{\text{def}}{=}$ $\stackrel{\text{def}}{=}$ $\stackrel{\text{def}}{=}$ $\stackrel{\text{def}}{=}$	$x^{\alpha\beta}$ $\lambda_\Omega^{\alpha\beta} x.t$ $@^{\alpha\beta}(t, s)$ $(\alpha : t)[x/s]_\Omega$
Reduction	$@^\alpha(\lambda_\Omega^\beta x.t, s)$ $C\langle\langle x^\alpha \rangle\rangle[x/t]_\Omega$ $t[x/s]_\Omega$	$\xrightarrow{\mathbf{db}(\beta)}_{\ell \text{ db}}$ $\xrightarrow{\downarrow(\alpha) \bullet \uparrow(t)}_{\ell \text{ ls}}$ $\xrightarrow{\{\mathbf{a} \bullet \uparrow(s) \mid \mathbf{a} \in \Omega\}}_{\ell \text{ gc}}$	$\alpha[\beta] : t[x/\lfloor\beta\rfloor : s]_\Omega$ $C\langle\alpha \bullet : t\rangle[x/t]_\Omega$ t

The labelled LSC

Some results:

- ▶ The labelled LSC is confluent for arbitrary predicates.
- ▶ The labelled LSC is SN for bounded predicates.
- ▶ *Issue*: we do **not** capture the “gc \Rightarrow gc” creation case. This is reasonable since the property of redex stability does not hold³, *i.e.* there are multiple ways of creating gc redexes:



³At least according to Lévy's formulation.

Current and future work

Short-term:

- ▶ Develop a method of contraction by extraction \triangleright .
Piggyback on the work on standardization for the LSC by Accattoli, Bonelli, Kesner and Lombardi.

This presents some difficulties by the fact that redexes are not stable.

- ▶ Characterize redex families proving the equivalences:

$$\text{zig-zag} \iff \text{extraction} \iff \text{labelling}$$

Long-term:

- ▶ Give a sharing graph implementation for optimal reduction.
- ▶ *Fuzzy question*: study how the “built-in” sharing of the LSC (explicit substitutions) relates with sharing for optimal reduction.

Basic references

Optimality

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The Optimal Implementation of Functional Programming Languages.
- ▶ Jean-Jacques Lévy.
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- ▶ Andrea Asperti, Harry Mairson.
Parallel beta reduction is not elementary recursive.

Linear substitution calculus

- ▶ Beniamino Accattoli, Delia Kesner.
The structural λ -calculus.
- ▶ Beniamino Accattoli, Eduardo Bonelli, Delia Kesner, Carlos Lombardi.
A Nonstandard Standardization Theorem.